

HENNESSY ADVISORS INC  
Form 8-K  
January 28, 2010

**SECURITIES AND EXCHANGE COMMISSION**

**UNITED STATES**

Washington, DC 20549

**FORM 8-K**

**CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) January 28, 2010

**HENNESSY ADVISORS, INC.**

(Exact name of registrant as specified in its charter)

**California**  
(State or other jurisdiction  
of incorporation)

**000-49872**  
Commission  
File Number)

**68-0176227**  
(IRS Employer  
Identification No.)

Edgar Filing: HENNESSY ADVISORS INC - Form 8-K

7250 Redwood Blvd., Suite 200

Novato, California  
(Address of principal executive offices)

Registrant's telephone number including area code: (415) 899-1555

94945  
(Zip Code)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 2.02 Results of Operations and Financial Condition

The registrant has issued an earnings release for the three months ended December 31, 2009, which is included herein as Exhibit 99.1.

Item 9.01 Financial Statements and Exhibits

(c) Exhibits

Exhibit 99.1 Earnings release issued January 28, 2010, by Hennessy Advisors, Inc., for the three months ended December 31, 2009.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.

January 28, 2010

By: /s/ NEIL J. HENNESSY  
Neil J. Hennessy

**President**

EXHIBIT INDEX

Exhibit 99.1 Earnings release issued January 28, 2010, by Hennessy Advisors, Inc., for the three months ended December 31, 2009.