

BROWN FORMAN CORP  
Form SC 13G/A  
February 12, 2016

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**SCHEDULE 13G**

**(Amendment No. 4)**

**Under the Securities Exchange Act of 1934**

**BROWN-FORMAN CORPORATION**

**(Name of Issuer)**

**Class A Common Stock**

**(Title of Class of Securities)**

**115637-10-0**

**(CUSIP Number)**

**December 31, 2015**

**(Date of Event Which Requires Filing of this Statement)**

Check the appropriate box to designate the rule pursuant to which this Schedule is filed.

Rule 13d-1(b)

Rule 13d-1(c)

“ Rule 13d-1(d)

CUSIP NO. 115637-10-0

(1) Names of reporting persons

Christina Lee Brown

I.R.S. Identification Nos. of above persons (entities only)

(2) Check the appropriate box if a member of a group (see instructions)

(a)  (b)

(3) SEC use only

(4) Citizenship or Place of Organization

United States of America

(5) Sole voting power

Number of

shares 0  
(6) Shared voting power

beneficially

owned by 9,112,709  
each (7) Sole dispositive power

reporting

person 2,033,368  
(8) Shared dispositive power

with:

4,070,725

(9) Aggregate amount beneficially owned by each reporting person

9,112,709

(10) Check if the aggregate amount in Row (9) excludes certain shares (see instructions).

N/A

(11) Percent of class represented by amount in Row (9)

10.8%

(12) Type of reporting person (see instructions)

IN

CUSIP NO. 115637-10-0

(1) Names of reporting persons

Owsley Brown III

I.R.S. Identification Nos. of above persons (entities only)

(2) Check the appropriate box if a member of a group (see instructions)

(a)  (b)

(3) SEC use only

(4) Citizenship or Place of Organization

United States of America

(5) Sole voting power

Number of

shares 0  
(6) Shared voting power

beneficially

owned by 9,112,709  
each (7) Sole dispositive power

reporting

person 149,813  
(8) Shared dispositive power

with:

4,489,684

(9) Aggregate amount beneficially owned by each reporting person

9,112,709

(10) Check if the aggregate amount in Row (9) excludes certain shares (see instructions).

N/A

(11) Percent of class represented by amount in Row (9)

10.8%

(12) Type of reporting person (see instructions)

IN

CUSIP NO. 115637-10-0

(1) Names of reporting persons

Brooke Brown Barzun

I.R.S. Identification Nos. of above persons (entities only)

(2) Check the appropriate box if a member of a group (see instructions)

(a)  (b)

(3) SEC use only

(4) Citizenship or Place of Organization

United States of America

(5) Sole voting power

Number of

shares 0  
(6) Shared voting power

beneficially

owned by 9,116,355  
each (7) Sole dispositive power

reporting

person 679,073  
(8) Shared dispositive power

with:

4,775,618

(9) Aggregate amount beneficially owned by each reporting person

9,116,355

(10) Check if the aggregate amount in Row (9) excludes certain shares (see instructions).

N/A

(11) Percent of class represented by amount in Row (9)

10.8%

(12) Type of reporting person (see instructions)

IN



CUSIP NO. 115637-10-0

(1) Names of reporting persons

Augusta Brown Holland

I.R.S. Identification Nos. of above persons (entities only)

(2) Check the appropriate box if a member of a group (see instructions)

(a)  (b)

(3) SEC use only

(4) Citizenship or Place of Organization

United States of America

(5) Sole voting power

Number of

shares 0  
(6) Shared voting power

beneficially

owned by 9,356,226  
each (7) Sole dispositive power

reporting

person 591,564  
(8) Shared dispositive power

with:

4,367,646

(9) Aggregate amount beneficially owned by each reporting person

9,356,226

(10) Check if the aggregate amount in Row (9) excludes certain shares (see instructions).

N/A

(11) Percent of class represented by amount in Row (9)

11.1%

(12) Type of reporting person (see instructions)

IN

**Item 1(a) and 1(b). Name of Issuer and Address of Issuer's Principal Executive Offices:**

Brown-Forman Corporation

850 Dixie Highway

Louisville, Kentucky 40210

**Item 2(a). Names of persons filing:**

The persons reporting on this Schedule 13G are Christina Lee Brown, Owsley Brown III, Brooke Brown Barzun and Augusta Brown Holland.

**Item 2(b). Address of principal business office or, if none, residence:**

Principal Business Address of each individual named herein:

333 East Main Street, Suite 401

Louisville, Kentucky 40202

**Item 2(c). Citizenship:** Each of the Reporting Persons is a U.S. citizen.

**Item 2(d). Title of class of securities:** Brown-Forman Corporation Class A Common Stock

**Item 2(e). CUSIP No.:** 0115637-10-0

**Item 3. If this statement is filed pursuant to §§ 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:**

- (a)  Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- (b)  Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c)  Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d)  Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).
- (e)  An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E).
- (f)  An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F).
- (g)  A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G).

- (h)  A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813).
- (i)  A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3).
- (j)  Group, in accordance with § 240.13d-1(b)(1)(ii)(J).

#### Item 4. Ownership.

The Class A Common Stock beneficially owned by each reporting person as of December 31, 2015 is as follows:

| Reporting Person       | Number of Shares Owned    |           |
|------------------------|---------------------------|-----------|
| Christina Lee Brown:   | Beneficially Owned:       | 9,112,709 |
|                        | Percent of Class:         | 10.8%     |
|                        | Sole Voting Power:        | 0         |
|                        | Shared Voting Power:      | 9,112,709 |
|                        | Sole Dispositive Power:   | 2,033,368 |
| Owsley Brown III:      | Shared Dispositive Power: | 4,070,725 |
|                        | Beneficially Owned:       | 9,112,709 |
|                        | Percent of Class:         | 10.8%     |
|                        | Sole Voting Power:        | 0         |
|                        | Shared Voting Power:      | 9,112,709 |
| Brooke Brown Barzun:   | Sole Dispositive Power:   | 149,813   |
|                        | Shared Dispositive Power: | 4,489,684 |
|                        | Beneficially Owned:       | 9,116,355 |
|                        | Percent of Class:         | 10.8%     |
|                        | Sole Voting Power:        | 0         |
| Augusta Brown Holland: | Shared Voting Power:      | 9,116,355 |
|                        | Sole Dispositive Power:   | 679,073   |
|                        | Shared Dispositive Power: | 4,775,618 |
|                        | Beneficially Owned:       | 9,356,226 |
|                        | Percent of Class:         | 11.1%     |
|                        | Sole Voting Power:        | 0         |
|                        | Shared Voting Power:      | 9,356,226 |
|                        | Sole Dispositive Power:   | 591,564   |
|                        | Shared Dispositive Power: | 4,367,646 |

Christina Lee Brown, Owsley Brown III, Brooke Brown Barzun and Augusta Brown Holland have agreed in principle to act together for the purpose of voting certain



**Signature**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 7, 2016

/s/Christina Lee Brown  
Christina Lee Brown

/s/Owsley Brown III  
Owsley Brown III

/s/Brooke Brown Barzun  
Brooke Brown Barzun

/s/Augusta Brown Holland  
Augusta Brown Holland

**AGREEMENT AMONG REPORTING PERSONS**

The undersigned hereby agree as follows:

1. Each of them is individually eligible to use the Schedule 13G, as amended, to which this Exhibit is attached, and such Schedule 13G is filed on behalf of each of them; and
2. Each of them is responsible for the timely filing of such Schedule 13G and any amendments thereto, and for the completeness and accuracy of the information concerning such person contained therein; but none of them is responsible for the completeness or accuracy of the information concerning the other person making the filing, unless such person knows or has reason to believe that such information is inaccurate.

Date: February 7, 2016

/s/Christina Lee Brown  
Christina Lee Brown

/s/Owsley Brown III  
Owsley Brown III

/s/Brooke Brown Barzun  
Brooke Brown Barzun

/s/Augusta Brown Holland  
Augusta Brown Holland