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SMITH MICRO SOFTWARE INC Form 8-K July 25, 2016

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): July 25, 2016

**Smith Micro Software, Inc.** 

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

01-35525 (Commission **33-0029027** (IRS Employer

of incorporation)

File Number) 51 Columbia

**Identification No.)** 

# Edgar Filing: SMITH MICRO SOFTWARE INC - Form 8-K Aliso Viejo, California 92656

(Address of principal executive offices) (Zip Code)

Registrant s telephone number, including area code: (949) 362-5800

#### None

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- "Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 2.02 Results of Operations and Financial Condition

On July 25, 2016, the Registrant issued a press release announcing its financial results for the three months and six months ended June 30, 2016. A copy of the press release is hereby furnished to the Commission as Exhibit 99.1 and incorporated by reference herein.

In accordance with General Instruction B.2 of Form 8-K, the information in this Item 2.02, including Exhibit 99.1, shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section.

#### Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

## Exhibit Description 99.1 Press Release issued on July 25, 2016

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#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: July 25, 2016

### SMITH MICRO SOFTWARE, INC.

/s/ Steven M. Yasbek Steven M. Yasbek Vice President and Chief Financial Officer