#### Edgar Filing: FORSYTHE DARYL R - Form 5

#### FORSYTHE DARYL R

Form 5

NBT Bancorp

Inc.

Common Stock Â

12/31/2004

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413.95

February 07, 2005

**OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer FORSYTHE DARYL R Symbol NBT BANCORP INC [NBTB] (Check all applicable) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) (Middle) (Month/Day/Year) \_X\_ Director 10% Owner \_X\_\_ Officer (give title Other (specify 12/31/2004 below) below) **52 SOUTH BROAD STREET** CEO NBT Bancorp (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) NORWICH. NYÂ 13815 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Transaction or Disposed of (D) Securities Ownership Indirect Execution Date, if (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at end Direct (D) Ownership of Issuer's or Indirect (Instr. 4) (A) Fiscal Year (I) or (Instr. 3 and 4) (Instr. 4) Amount (D) Price **NBT** Bancorp Â Â Inc. 12/31/2004 2,416.899 103,425.691 (1) Common Stock

401k

8,585.849

(2)

Ι

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Inc. Common Stock NBT Bancorp Inc. Common	Â Â	Â Â	Â Â	Â Â	Â	Â	2,758.846 1,511	I	Bancorp ESOP by Spouse
Stock Reminder: Re	eport on a separate lin		contained	l in this form	are r	ot req	ection of inform uired to respor d OMB control	nd unless	SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Deriv Securion Acqui (A) of Disposor (D) (Instr. 4, and	vative rities nired or osed o)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Ai Ni Sh
Non-Qualified Stock Option (right to buy)	\$ 14.3492	Â	Â	Â	Â	Â	01/28/2003(3)	01/28/2012	NBT Bancorp Inc. Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 16.0625	Â	Â	Â	Â	Â	01/22/2002(3)	01/22/2011	NBT Bancorp Inc. Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 17.538	Â	Â	Â	Â	Â	01/01/2004(3)	01/01/2013	NBT Bancorp Inc. Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 18.1632	Â	Â	Â	Â	Â	01/27/1999(3)	01/27/2008	NBT Bancorp Inc.	4

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									Common Stock
Non-Qualified Stock Option (right to buy)	\$ 19.7917	Â	Â	Â	Â	Â	08/11/2005(4)	08/11/2013	NBT Bancorp Inc. Common Stock
Non-Qualified Stock Option (right to buy)	\$ 19.7925	Â	Â	Â	Â	Â	08/14/2005(4)	08/14/2013	NBT Bancorp Inc. Common Stock
Non-Qualified Stock Option (right to buy)	\$ 19.8125	Â	Â	Â	Â	Â	08/12/2005(4)	08/12/2013	NBT Bancorp Inc. Common Stock
Non-Qualified Stock Option (right to buy)	\$ 20.0558	Â	Â	Â	Â	Â	08/05/2005 <u>(4)</u>	08/05/2013	NBT Bancorp Inc. Common Stock
Non-Qualified Stock Option (right to buy)	\$ 20.441	Â	Â	Â	Â	Â	04/28/2001(4)	04/28/2009	NBT Bancorp Inc. Common Stock
Non-Qualified Stock Option (right to buy)	\$ 20.5952	Â	Â	Â	Â	Â	01/26/2000(3)	01/26/2009	NBT Bancorp Inc. Common Stock
Non-Qualified Stock Option (right to buy)	\$ 22.1715	Â	Â	Â	Â	Â	01/01/2005(3)	01/01/2014	NBT Bancorp Inc. Common Stock
Non-Qualified Stock Option (right to buy)	\$ 22.3517	Â	Â	Â	Â	Â	09/01/2006(4)	09/01/2014	NBT Bancorp Inc. Common Stock
Non-Qualified Stock Option (right to buy)	\$ 22.4075	Â	Â	Â	Â	Â	09/08/2006(4)	09/08/2014	NBT Bancorp Inc. Common

Stock

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

FORSYTHE DARYL R
52 SOUTH BROAD STREET Â X Â Â CEO NBT Bancorp Â

NORWICH, NYÂ 13815

## **Signatures**

By: Michael J. Chewens, Power of Attorney For: Daryl R.
Forsythe

02/07/2005

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired under NBT Bancorp Dividend Reinvestment Plan during period of January 1, 2004 to December 31, 2004 at prices ranging from \$21.9771 to \$26.4761 per share.
- (2) Shares were purchased from 1/1/2004 through 12/31/2004 through NBT Bancorp Inc. 401k Plan.
- (3) Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan grant vests 40% for first year, 20% annually for following years.
- (4) Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan reload grant vests 100% two years after date of its grant.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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