

STARK BRIAN J
Form 4
November 16, 2004

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
STARK BRIAN J

(Last) (First) (Middle)

5757 N. GREEN BAY
AVENUE, P.O. BOX 591

(Street)

MILWAUKEE, WI 53201-0591

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction
(Month/Day/Year)

11/15/2004

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)

Vice President

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	11/15/2004		M		50,000	A	\$ 40.115	76,952	D
Common Stock	11/15/2004		S		2,600	D	\$ 61	74,352	D
Common Stock	11/15/2004		S		3,800	D	\$ 61.01	70,552	D
Common Stock	11/15/2004		S		1,700	D	\$ 61.05	68,852	D
Common Stock	11/15/2004		S		1,800	D	\$ 61.07	67,052	D

Edgar Filing: STARK BRIAN J - Form 4

Common Stock	11/15/2004	S	4,100	D	\$ 61.08	62,952	D
Common Stock	11/15/2004	S	700	D	\$ 61.09	62,252	D
Common Stock	11/15/2004	S	2,200	D	\$ 61.1	60,052	D
Common Stock	11/15/2004	S	4,900	D	\$ 61.11	55,152	D
Common Stock	11/15/2004	S	2,200	D	\$ 61.12	52,952	D
Common Stock	11/15/2004	S	1,800	D	\$ 61.135	51,152	D
Common Stock	11/15/2004	S	4,100	D	\$ 61.15	47,052	D
Common Stock	11/15/2004	S	2,800	D	\$ 61.16	44,252	D
Common Stock	11/15/2004	S	2,300	D	\$ 61.17	41,952	D
Common Stock	11/15/2004	S	2,000	D	\$ 61.18	39,952	D
Common Stock	11/15/2004	S	1,800	D	\$ 61.19	38,152	D
Common Stock	11/15/2004	S	3,400	D	\$ 61.2	34,752	D
Common Stock	11/15/2004	S	4,000	D	\$ 61.215	30,752	D
Common Stock	11/15/2004	S	1,200	D	\$ 61.23	29,552	D
Common Stock	11/15/2004	S	1,900	D	\$ 61.24	27,652	D
Common Stock	11/15/2004	S	300	D	\$ 61.33	27,352	D
Common Stock	11/15/2004	S	400	D	\$ 61.34	26,952	D
Common Stock						29,192.94 ⁽¹⁾	I

By 401
(k) Plan
Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474
(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying Security (Instr. 3 and 4)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Phantom Stock Units / Restricted Stock Plan	(2)							(3)	(3)	Common Stock
Phantom Stock Units/Excess Benefit Plan-Common	(2)							(5)	(5)	Common Stock
Stock Option	\$ 40.115	11/15/2004		M		50,000		11/14/2003(7)	11/14/2011	Common Stock
Stock Option	\$ 40.2975							11/20/2004(7)	11/20/2012	Common Stock
Stock Option	\$ 52.55							11/19/2005(7)	11/19/2013	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STARK BRIAN J 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591			Vice President	

Signatures

Arlene D. Gumm Attorney-In-Fact for Brian J. Stark
11/16/2004

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The number of underlying securities is based on the stock fund balance on 11/15/2004. The actual number of shares issuable upon the
- (1) distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a 11/15/2004 stock fund price of \$61.26 per share.
 - (2) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
 - (3) The phantom stock units were accrued under the Johnson Controls Restricted Stock Deferral Plan and are to be settled 100% in cash upon the vesting of the reporting person's restricted stock to which they relate.
 - (4) Includes 236.616 phantom stock units acquired through reinvestment of dividends on June 30 and September 30, 2004 at prices of \$53.38 and \$56.81 per phantom unit.
 - (5) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit plan and are to be settled 100% in cash upon the reporting person's retirement.
 - (6) Includes 48.085 phantom stock units acquired through reinvestment of dividends on June 30 and September 30, 2004 at prices of \$53.38 and \$56.81 per phantom unit.
 - (7) Fifty per cent of the option vests after two years and the balance, after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.