

VIACELL INC  
Form 3  
March 09, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |          |                                      |  |  |
|---|----------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |          | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                         |  |
| Â Kraus Morey                             |          | (Month/Day/Year)                     | VIACELL INC [VIAC]   |  |
| (Last)                                    | (First)  | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
|   |          | 03/07/2007                           |  |  |
| 245 FIRST STREET                          |          |                                      | (Check all applicable)   | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
|   | (Street) |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| CAMBRIDGE,Â MAÂ 02142                     |          |                                      | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input type="checkbox"/> Form filed by More than One Reporting Person  |
| (City)                                    | (State)  | (Zip)                                | VP, Chief Technology Officer   |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 61,500  | D  | Â   |
| Common Stock                    | 111,500   | I  | By Kraus Family Revocable Trust                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

## Edgar Filing: VIACELL INC - Form 3

|                             | Date Exercisable          | Expiration Date | Title        | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) |   |
|-----------------------------|---------------------------|-----------------|--------------|----------------------------|---------------------|---|---|
| Stock Option (right-to-buy) | 04/01/2006 <sup>(1)</sup> | 03/01/2016      | Common Stock | 25,000                     | \$ 5.21             | D   | Â |
| Stock Option (right-to-buy) | 05/18/2005 <sup>(2)</sup> | 04/26/2015      | Common Stock | 25,000                     | \$ 7.25             | D   | Â |
| Stock Option (right-to-buy) | 04/11/2001 <sup>(3)</sup> | 04/11/2010      | Common Stock | 244,293                    | \$ 0.3              | D   | Â |

## Reporting Owners

| Reporting Owner Name / Address                         | Relationships |           |                                      |       |
|--|---------------|-----------|--------------------------------------|-------|
|  | Director      | 10% Owner | Officer                              | Other |
| Kraus Morey<br>245 FIRST STREET<br>CAMBRIDGE, MA 02142 | Â             | Â         | Â VP, Chief<br>Technology<br>Officer | Â     |

## Signatures

|  |                     |
|--|---------------------|
| /s/ Benjamin S. Harshbarger,<br>attorney-in-fact | 03/09/2007          |
| <small>**Signature of Reporting Person</small>   | <small>Date</small> |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in sixteen substantially equal quarterly installments beginning April 1, 2006.
- (2) The option vests in sixteen substantially equal quarterly installments beginning May 18, 2005.
- (3) The option vested in four equal annual installments beginning April 11, 2001.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.