

GLU MOBILE INC  
Form 4  
October 23, 2009

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BALLARD GREGORY L**

(Last) (First) (Middle)

**C/O GLU MOBILE INC., 2207  
BRIDGEPOINTE PARKWAY,  
SUITE 250**

(Street)

**SAN MATEO, CA 94404**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**GLU MOBILE INC [GLUU]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**10/22/2009**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**President and CEO**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4)  |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V Amount (A) or (D) Price   |   |  |   |
| Common Stock                    | 10/22/2009                           |  | M                              | 26,666 A \$ 0.75  | 26,666  | D  |   |
| Common Stock                    |                                      |  |                                |   | 310,586   | I  | Held in trust <sup>(1)</sup>                |
| Common Stock                    |                                      |  |                                |   | 75,000  | I  | Held in trust <sup>(2)</sup>                |
| Common Stock                    |                                      |  |                                |   | 26,688  | I  | Custodian for minor children <sup>(3)</sup> |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Stock Option (right to buy)                | \$ 0.75  | 10/22/2009                           |  | M                              | 26,666  | 11/03/2004 <sup>(4)</sup> 11/03/2009                     | Common Stock  | 26,666                     |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                   |       |
|---|---------------|-----------|-------------------|-------|
|   | Director      | 10% Owner | Officer           | Other |
| BALLARD GREGORY L<br>C/O GLU MOBILE INC.<br>2207 BRIDGEPOINTE PARKWAY, SUITE 250<br>SAN MATEO, CA 94404 | X             |           | President and CEO |       |

## Signatures

/s/ L. Gregory Ballard by Kevin S. Chou,  
Attorney-in-Fact

10/23/2009

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by the L. Gregory Ballard and Lucy H. Ballard Revocable Trust U/A/D October 26, 1996, of which Mr. Ballard is a co-trustee.
- (2) These shares are held in the L. Gregory Ballard & Lucy H. Ballard Trustees for the Ballard Family GRAT.
- (3) These shares are held in custodial accounts for Mr. Ballard's minor children.
- (4) This option was immediately exercisable and vested monthly over a four-year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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