#### SULLIVAN FRANK C

Form 4 May 16, 2011

# FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Issuer

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

burden hours per

response...

5. Relationship of Reporting Person(s) to

0.5

3235-0287

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

value

(Print or Type Responses)

SULLIVAN FRANK C

1. Name and Address of Reporting Person \*

JUZZI VII			RPM [RPM]	INTEF	RNA	ATIONAL	. INC	C/DE/	(Check	all applicable	e)	
(Last)	(First)	(Middle)	3. Date (Month)			Transaction			X Director X Officer (give t below)		6 Owner er (specify	
2628 PEA	RL ROAD, P.O.	BOX 777	05/12/	2011					· · · · · · · · · · · · · · · · · · ·	Chairman and CEO		
	(Street)					Date Origina	1		6. Individual or Joi	nt/Group Fili	ng(Check	
MEDINA,	ОН 44258		Filed(M	Ionth/Da	y/Ye	ear)			Applicable Line) _X_ Form filed by Or Form filed by Mo Person	1 0		
(City)	(State)	(Zip)	Ta	ble I - N	lon-	-Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transa Code (Instr.	8)	4. Securitie on Disposed o (Instr. 3, 4 and Amount	f (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$0.01 par value	05/12/2011			M		85,054	A	\$ 14.08	835,817	D		
Common Stock, \$0.01 par value	05/12/2011			S		160,054	D	\$ 22.8682 (1)	2 675,763 (2)	D		
Common Stock, \$0.01 par									6,624	I	By Thomas C. and Sandra S. Sullivan	

Irrevocable

### Edgar Filing: SULLIVAN FRANK C - Form 4

			Grandchildren's Trust dated May 8, 2006
Common Stock, \$0.01 par value	3,000	I	As custodian for son
Common Stock, \$0.01 par value	3,000	I	As custodian for son
Common Stock, \$0.01 par value	3,000	I	As custodian for son
Common Stock, \$0.01 par value	900	I	As custodian for son
Common Stock, \$0.01 par value	3,854 (3)	Ι	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour Numbe Shares
Stock Option (Right to Buy)	\$ 14.08	05/12/2011		M		85,054	<u>(4)</u>	10/11/2012	Common Stock	85,0
•	<u>(6)</u>						<u>(7)</u>	<u>(7)</u>		1,150

Stock Common Appreciation Stock Rights

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SULLIVAN FRANK C							
2628 PEARL ROAD	X		Chairman and CEO				
P.O. BOX 777	Λ		Chairman and CEO				
MEDINA, OH 44258							

# **Signatures**

/s/ Frank C. Sullivan, by Gregory J. Dziak, his attorney-in-fact pursuant to Power of Attorney dated May 14, 2007 on file with the Commission

05/16/2011

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Price reported constitutes the average weighted price of shares sold. Shares were sold at varying prices in the range of \$22.70 23.065.
- (1) The reporting person hereby undertakes, upon request by the Commission staff, the issuer or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.
  - Includes an aggregate of 53,661 shares of Common Stock issued pursuant to the 1997 RPM International Inc. Restricted Stock Plan, as amended, 108,695 shares of Common Stock issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan, 160,000 shares of
- (2) Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan, and 75,000 shares of Common Stock issued as Performance Contingent Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan.
- (3) Approximate number of shares held as of May 12, 2011 in the account of reporting person by Wachovia Bank, N.A., as Trustee of the RPM International Inc. 401(k) Trust and Plan, as amended.
- (4) The option vested in four equal installments on October 11, 2003, 2004, 2005 and 2006.
- Granted pursuant to the RPM International Inc. 1996 Key Employees Stock Option Plan, as amended in an exempt transaction under Rule (5) 16b-3. These options vest and become exercisable in 25% increments over four years commencing one year after the date of grant. These options were granted between 2002 and 2004 and expire 10 years from the date of grant.
- (6) No transaction is being reported on this line. Reported on a previously filed Form 3, Form 4, or Form 5.
- Stock Appreciation Rights granted pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan in an exempt (7) transaction under Rule 16b-3. These Stock Appreciation Rights vest in four equal installments commencing one year after the date of grant. These Stock Appreciation Rights were granted between 2005 and 2010 and expire 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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