## Edgar Filing: Baron Jessica T - Form 4/A

Baron Jessica Form 4/A	a T											
October 19, 2	2011											
FORM											PPROVAL	
		SECURITIES AND EXCHANGE COMMISS Washington, D.C. 20549						3235-0287				
Check thi if no long subject to Section 1 Form 4 or Form 5 obligation may cont	ger ST 6. r Fi <sup>ns</sup> Secti	<b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b> <b>SECURITIES</b> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									January 31, 2005 average irs per 0.5	
See Instru 1(b).	iction		30(h)	of the Inv	vestment	Compan	y Aci	t of 194	40			
(Print or Type F	Responses)											
1. Name and Address of Reporting Person <u>*</u> Baron Jessica T				2. Issuer Name and Ticker or Trading Symbol HERCULES TECHNOLOGY GROWTH CAPITAL INC [HTGC]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) C/O HERCU GROWTH O HAMILTON	CAPITAL	CHNOL , 400		3. Date of (Month/Da 10/16/20	-	ansaction			Director X Officer (give below) Interim C		9 Owner er (specify Dffice	
			4. If Amendment, Date Original Filed(Month/Day/Year) 10/18/2011					6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
PALO ALT	O,, CA 94	301							Form filed by M Person	Aore than One Re	eporting	
(City)	(State)	(2	Zip)	Table	e I - Non-D	erivative S	Securi	ities Acc	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	ar		Execution any		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A)		Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
C					Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock par value \$0.001	10/16/20	)11			F	43	D	\$ 9.57	30,348 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day, e	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
I G G G G G G G G G G G G G G G G G G G	Director	10% Owner	Officer	Other			
Baron Jessica T C/O HERCULES TECHNOLOGY GROWTH CAPITAL 400 HAMILTON AVE., SUITE 310 PALO ALTO,, CA 94301			Interim Chief Financial Office				
Signatures							
/s/Scott Harvey, Attorney-in-Fact for Jessica Baron	10/19/201	.1					
**Signature of Reporting Person	Date						

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) To correct amount of securities owned as reported on Form 4 filed on October 18, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.