Edgar Filing: Henriquez Manuel A - Form 4

Henriquez M Form 4 June 26, 201											
June 26, 2012 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Junited States Securities AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									3235-0287		
								Expires:January 312005Estimated averageburden hours perresponse0.5			
(Print or Type]	Responses)										
1. Name and A Henriquez M	Symbol HERCULES	ssuer Name and Ticker or Trading bol RCULES TECHNOLOGY OWTH CAPITAL INC [HTGC]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
GROWTH	(First) ULES TECHI CAPITAL, 40 N AVE, SUIT	00	3. Date of Earlie (Month/Day/Yea 06/24/2012		nsaction			X Director X Officer (give below) Pres		Owner er (specify	
Filed(Mo				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
	O, CA 94301							Person		r8	
(City) 1.Title of Security (Instr. 3)	(State) 2. Transaction (Month/Day/Y	ear) Executio any	med 3. on Date, if Trans Code Day/Year) (Instr	actior	4. Securi	ties A ispose	cquired d of (D)	uired, Disposed of 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock \$0.001	06/24/2012		F		2,188 (1)	D	\$ 10.86	1,478,505	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Henriquez Manuel A C/O HERCULES TECHNOLOGY GROWTH CAPITAL 400 HAMILTON AVE, SUITE 310 PALO ALTO, CA 94301	Х		President & CEO				
Signatures							
/s/ Scott Harvey, Attorney-in-Fact for Manuel Henriquez	06/26/2012						
**Signature of Reporting Person	Dat	te					
Explanation of Responses:							

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on June 24, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.