

KAUFMAN IVAN

Form 4

November 08, 2012

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
KAUFMAN IVAN

2. Issuer Name **and** Ticker or Trading
Symbol
ARBOR REALTY TRUST INC
[ABR]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

C/O ARBOR REALTY TRUST,
INC., 333 EARLE OVINGTON
BLVD., STE. 900

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/06/2012

☐ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
COB, CEO and President

UNIONDALE, NY 11553

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.01 per share	11/06/2012		P	500 A \$ 5.395	75,500	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$.01 per share	11/06/2012		P	14,500 A \$ 5.4	90,000	I	By Ivan and Lisa Kaufman Family Trust

Edgar Filing: KAUFMAN IVAN - Form 4

Common Stock, par value \$0.01 per share	11/06/2012	P	200	A	\$ 5.42	90,200	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$0.01 per share	11/06/2012	P	4,800	A	\$ 5.43	95,000	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$0.01 per share	11/07/2012	P	300	A	\$ 5.27	95,300	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$0.01 per share	11/07/2012	P	100	A	\$ 5.2797	95,400	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$0.01 per share	11/07/2012	P	4,628	A	\$ 5.28	100,028	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$0.01 per share	11/07/2012	P	300	A	\$ 5.285	100,328	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$0.01 per share	11/07/2012	P	4,300	A	\$ 5.29	104,628	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$0.01 per share	11/07/2012	P	100	A	\$ 5.295	104,728	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$0.01 per share	11/07/2012	P	9,372	A	\$ 5.3	114,100	I	By Ivan and Lisa Kaufman Family Trust
	11/07/2012	P	59	A	\$ 5.31	114,159	I	

Edgar Filing: KAUFMAN IVAN - Form 4

Common Stock, par value \$.01 per share								By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$.01 per share	11/07/2012	P	100	A	\$ 5.315	114,259	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$.01 per share	11/07/2012	P	541	A	\$ 5.32	114,800	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$.01 per share	11/07/2012	P	200	A	\$ 5.33	115,000	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$.01 per share	11/07/2012	P	10,000	A	\$ 5.35	125,000	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$.01 per share	11/08/2012	P	4,664	A	\$ 5.26	129,664	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$.01 per share	11/08/2012	P	1,000	A	\$ 5.27	130,664	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$.01 per share	11/08/2012	P	5,100	A	\$ 5.28	135,764	I	By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$.01 per share	11/08/2012	P	4,400	A	\$ 5.285	140,164	I	By Ivan and Lisa Kaufman Family Trust
	11/08/2012	P	9,836	A	\$ 5.29	150,000	I	

Edgar Filing: KAUFMAN IVAN - Form 4

Common Stock, par value \$.01 per share				By Ivan and Lisa Kaufman Family Trust
Common Stock, par value \$.01 per share	114,334	D		
Common Stock, par value \$.01 per share	5,349,053	I		By Arbor Commercial Mortgage, LLC
Common Stock, par value \$.01 per share	3,598	I		By son, Maurice Kaufman
Common Stock, par value \$.01 per share	1,617	I		By son, Adam Kaufman under Uniform Gift to Minors Act
Common Stock, par value \$.01 per share	5,000	I		By spouse, Lisa Kaufman

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo
---	--	---	---	--------------------------------------	---	--	---	---	---

Disposed
of (D)
(Instr. 3,
4, and 5)

Trans
(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
------	---	-----	-----	---------------------	--------------------	-------	--

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KAUFMAN IVAN C/O ARBOR REALTY TRUST, INC. 333 EARLE OVINGTON BLVD., STE. 900 UNIONDALE, NY 11553	X	X	COB, CEO and President	

Signatures

/s/ Ivan
Kaufman

11/08/2012

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.