

Cox Philip C  
Form 3  
May 01, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Cox Philip C		(Month/Day/Year)	SVB FINANCIAL GROUP [SIVB]	
(Last)	(First)	(Middle)	04/25/2013	
SVB FINANCIAL GROUP, 3005 TASMAN DRIVE			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)				(Check all applicable)
SANTA CLARA, CA 95054			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			Head EMEA India & President, UK	<input type="checkbox"/> Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	50	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Unit	05/01/2013	05/01/2019	Common Stock	1,630 <sup>(1)</sup>	\$ 0	D	Â
Restricted Stock Unit	04/30/2011	04/30/2017	Common Stock	450 <sup>(1)</sup>	\$ 0	D	Â
Restricted Stock Unit	04/27/2012	04/27/2018	Common Stock	1,125 <sup>(1)</sup>	\$ 0	D	Â
Stock Option	04/27/2012	04/27/2018	Common Stock	3,000 <sup>(2)</sup>	\$ 60.37	D	Â
Stock Option	04/30/2011	04/30/2017	Common Stock	1,650 <sup>(3)</sup>	\$ 49.18	D	Â
Stock Option	09/08/2010	09/08/2016	Common Stock	1,000 <sup>(4)</sup>	\$ 38.21	D	Â
Stock Option	05/01/2013	05/01/2019	Common Stock	3,250 <sup>(5)</sup>	\$ 64.37	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Cox Philip C SVB FINANCIAL GROUP 3005 TASMAN DRIVE SANTA CLARA, CA 95054	Â	Â	Â Head EMEA India & President, UK	Â

## Signatures

Kamran Husain, Attorney-in-Fact for Philip Cox 05/01/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting schedule over four years with equal percentage vesting each year of 25%. There are no vested shares held at filing date.
  - (2) Vesting schedule over four years with equal percentage vesting each year of 25%. There are options exercisable for 750 shares at filing date.
  - (3) Vesting schedule over four years with equal percentage vesting each year of 25%. There are options exercisable for 550 shares at filing date and previously vested options of 550 shares have been exercised.
  - (4) Vesting schedule over four years with equal percentage vesting each year of 25%. No options exercisable at filing date and all previously vested options for 3,000 shares have been exercised.
  - (5) Vesting schedule over four years with equal percentage vesting each year of 25%. There are no options exercisable at filing date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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