Edgar Filing: VICOR CORP - Form 4

Form 4									
June 19, 2013	4		CECH						PPROVAL
Washington, D.C. 20549							N OMB Number:	3235-0287	
Check this b if no longer subject to Section 16. Form 4 or Form 5 obligations may continu	STATEN Filed pur	Section 1 Public U	SECUI 16(a) of th Itility Hol	Estimated burden hou response	urs per				
<i>See</i> Instructi 1(b).		30(h)	of the I	nvestmen	t Compa	ny Act of 1	940		
(Print or Type Resp	ponses)								
1. Name and Address of Reporting Person <u>*</u> CARLSON JASON			2. Issuer Name and Ticker or Trading Symbol VICOR CORP [VICR]			5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	3. Date of Earliest Transaction (Chea				k all applicable)		
25 FRONTAGE RD.			(Month/Day/Year) 06/17/2013			X_ Director 10% Owner Officer (give title Other (specify below) below)			
ANDOVER, N	4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
		(Zin)					Person		
(City)	(State)	(Zip)					Acquired, Disposed		-
	Fransaction Date onth/Day/Year)	2A. Deema Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Reminder: Report	on a separate line	e for each cl	ass of sec	urities bene	ficially ow	ned directly	or indirectly.		
					inforr requi	nation con red to resp ays a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amoun
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securiti
Security	or Exercise		any	Code	Securities Acquired	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	(A) or Disposed of		

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	Derivative Security			(D) (Instr. 3, 4	4, and 5)				
			Code V	V (A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Non Qualified Stock Option	\$ 11.25	06/17/2013	D		15,000	<u>(3)</u>	08/08/2018	Common Stock	15,0
Non Qualified Stock Option	\$ 11.7	06/17/2013	D		4,274	<u>(4)</u>	06/24/2013	Common Stock	4,2
Non Qualified Stock Option	\$ 15.64	06/17/2013	D		3,197	(5)	06/23/2014	Common Stock	3,19
Non Qualified Stock Option	\$ 6.29	06/17/2013	А	15,000		(2)	06/17/2023	Common Stock	15,0
Non Qualified Stock Option	\$ 6.29	06/17/2013	А	4,274		(2)	06/17/2023	Common Stock	4,2
Non Qualified Stock Option	\$ 6.29	06/17/2013	A	3,197		(2)	06/17/2023	Common Stock	3,19

Reporting Owners

Reporting Owner Name / Address		Relationsh		
	Director	10% Owner	Officer	Other
CARLSON JASON 25 FRONTAGE RD. ANDOVER, MA 01810	Х			
Signatures				
/s/ Kemble D. Morrison Attorn Carlson	06/19/2013			

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On 6/17/2013, the issuer canceled, pursuant to the issuer's option exchange offer, options granted to the reporting person. In exchange the reporting person received replacement options, having an exercise price of \$6.29.
- (2) The replacement options vest over a five year period in equal installments.
- (3) Granted 8/08/2008 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a five year period.
- (4) Granted 6/24/2010 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a two year period.
- (5) Granted 6/23/2011 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a two year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.