

GLU MOBILE INC
Form 4
February 28, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Leichtner Scott

(Last) (First) (Middle)

C/O GLU MOBILE INC., 500
HOWARD STREET, SUITE 300

(Street)

SAN FRANCISCO, CA 94105

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GLU MOBILE INC [GLUU]

3. Date of Earliest Transaction
(Month/Day/Year)

02/27/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

VP AND GENERAL COUNSEL

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	02/27/2014		S		33,821 D \$ 5 ⁽¹⁾	20,081 ⁽²⁾	D
Common Stock	02/27/2014		M		20,000 A \$ 0.99	40,081	D
Common Stock	02/27/2014		S		20,000 D \$ 5 ⁽³⁾	20,081	D
Common Stock	02/27/2014		M		20,000 A \$ 1.19	40,081	D
Common Stock	02/27/2014		S		20,000 D \$ 5.08 ⁽⁴⁾	20,081	D

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Common Stock	02/28/2014	M	8,750	A	\$ 1.19	28,831	D
Common Stock	02/28/2014	S	8,750	D	\$ 5.152	20,081	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (right to buy)	\$ 0.99	02/27/2014		M	20,000	<u>(5)</u> 07/14/2015	Common Stock	20,000
Stock Option (right to buy)	\$ 1.19	02/27/2014		M	20,000	<u>(6)</u> 04/13/2016	Common Stock	20,000
Stock Option (right to buy)	\$ 1.19	02/28/2014		M	8,750	<u>(6)</u> 04/13/2016	Common Stock	8,750

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Leichtner Scott C/O GLU MOBILE INC. 500 HOWARD STREET, SUITE 300 SAN FRANCISCO, CA 94105			VP AND GENERAL COUNSEL	

Signatures

/s/ Scott J.
Leichtner

02/28/2014

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents a weighted average, as this transaction was executed in two trades as follows: 33,621 shares sold at a price of \$5.00 and 200 shares sold at a price of \$5.005.

In a Form 3 filed on April 11, 2012, Mr. Leichtner reported that he beneficially owned 28,715 shares of the common stock of Glu Mobile Inc. ("Glu"). Mr. Leichtner subsequently acquired 5,106 shares of Glu on August 21, 2012, 9,469 shares on August 21, 2013 and 10,612

- (2) shares on February 21, 2014, all pursuant to Glu's 2007 Employee Stock Purchase Plan, which purchases were exempt from reporting under Rule 16b-3(c) promulgated under the Securities Exchange Act of 1934, as amended. The amount of securities beneficially owned by Mr. Leichtner following this transaction takes into account these purchases.

- (3) Represents a weighted average, as this transaction was executed in two trades as follows: 18,900 shares sold at a price of \$5.00 and 1,100 shares sold at a price of \$5.005.

- (4) Represents a weighted average, as this transaction was executed in two trades as follows: 19,900 shares sold at a price of \$5.08 and 100 shares sold at a price of \$5.085.

This option vested and became exercisable over four years, with 25% of the underlying shares having vested and become exercisable on

- (5) June 30, 2010, with the remaining underlying shares having vested and become exercisable in equal monthly installments over the following three years, such that the option fully vested on June 30, 2013.

This option vests and becomes exercisable over four years, with 25% of the underlying shares having vested and become exercisable on

- (6) April 13, 2011, with the remaining underlying shares vesting and becoming exercisable in equal monthly installments over the following three years, such that the option fully vests on April 13, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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