Edgar Filing: NanoString Technologies Inc - Form 4

NanoString Technologies Inc Form 4 September 04, 2014

September (04, 2014											
FORM	Λ4		GEGU					NGE G		OMB AF	PROVAL	
	••• UNITED	STATES						ANGE CO	OMMISSION	OMB Number:	3235-0287	
Check t if no lor subject Section Form 4 Form 5	Washington, D.C. 20549 CHANGES IN BENEFICIAL OWN SECURITIES Vection 16(a) of the Securities Exchange							Expires: Estimated a burden hour response				
obligati may con <i>See</i> Inst 1(b).	ons ntinue. Section 17	(a) of the l	Public U	Jtility I	Hol	lding Cor	npan		1935 or Section			
(Print or Type	Responses)											
	Address of Reporting		Symbol			d Ticker or		0	5. Relationship of I Issuer	Reporting Pers	on(s) to	
				NanoString Technologies Inc [NSTG]					(Check all applicable)			
			3. Date of Earliest Transaction (Month/Day/Year)						Director Officer (give ti below)	itle $X_10\%$ below)	o Owner or (specify	
C/O OVP PARTNER AVE. E., S	S, 1616 EASTLA	AKE	09/02/2	2014					500w)	below)		
	(Street)		4. If Am Filed(Mo			Date Origina ar)	ıl		5. Individual or Joi Applicable Line) Form filed by On	e Reporting Per	son	
SEATTLE	, WA 98102								_X_ Form filed by M Person	ore than One Re	eporting	
(City)	(State)	(Zip)	Tab	ole I - No	on-	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	Code	8)	4. Securiti ordr Dispose (Instr. 3, 4 Amount	ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	09/02/2014			S		3,213	D	\$ 11.483 (1)	³ 1,715,841 (2)	D (3)		
Common Stock	09/02/2014			S		1,739	D	\$ 11.483 (1)	³ 1,714,102 (4)	I <u>(3)</u>	See footnotes	
Common Stock	09/02/2014			S		44	D	\$ 11.483 (1)	³ 1,714,058 <u>(5)</u>	I <u>(3)</u>	See footnotes	
Common Stock	09/02/2014			S		4	D	\$ 11.483 (1)	³ 1,714,054 <u>(6)</u>	I <u>(3)</u>	See footnotes	
	09/03/2014			S		19,278	D		1,694,776 (8)	D ⁽³⁾		

Edgar Filing: NanoString Technologies Inc - Form 4

Common Stock					\$ 11.0936 (7)			
Common Stock	09/03/2014	S	10,431	D	\$ 11.0936 (7)	1,684,345 <u>(9)</u>	I <u>(3)</u>	See footnotes
Common Stock	09/03/2014	S	264	D	\$ 11.0936 (7)	1,684,081 (10)	I <u>(3)</u>	See footnotes
Common Stock	09/03/2014	S	27	D	\$ 11.0936 (7)	1,684,054 (11)	I <u>(3)</u>	See footnotes

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
OVP VENTURE PARTNERS VI LP C/O OVP VENTURE PARTNERS 1616 EASTLAKE AVE. E., SUITE 208 SEATTLE, WA 98102		Х				
OVP VENTURE PARTNERS VII LP C/O OVP VENTURE PARTNERS		Х				

1616 EASTLAKE AVE. E., SUITE 208 SEATTLE, WA 98102		
OVP VI ENTREPRENEURS FUND LP C/O OVP VENTURE PARTNERS 1616 EASTLAKE AVE. E., SUITE 208 SEATTLE, WA 98102		У
OVP VII ENTREPRENEURS FUND LP C/O OVP VENTURE PARTNERS 1616 EASTLAKE AVE. E., SUITE 208 SEATTLE, WA 98102		У
Signatures		
/s/ Barbara A. Mery, Attorney-in-fact	09/04/2014	
**Signature of Reporting Person	Date	
/s/ Barbara A. Mery, Attorney-in-fact	09/04/2014	
**Signature of Reporting Person	Date	
/s/ Barbara A. Mery, Attorney-in-fact	09/04/2014	
**Signature of Reporting Person	Date	
/s/ Barbara A. Mery, Attorney-in-fact	09/04/2014	
**Signature of Reporting Person	Date	

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The "Amount" and "Price" reported in this Column 4 reflect the aggregate number and weighted-average price, respectively, of shares sold. These shares were sold in multiple transactions at prices ranging from \$11.43 to \$11.60, inclusive. The reporting person (1) undertakes to provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.

1,167,839 of these shares are owned by OVP Venture Partners VI, L.P., 16,102 of these shares are owned by OVP VI Entrepreneurs Fund, L.P., 530,267 of these shares are owned by OVP Venture Partners VII, L.P., and 1,633 of these shares are owned by OVP VII (2) Entrepreneurs Fund, L.P.

OVMC VI, LLC serves as the general partner of OVP Venture Partners VI, L.P. and OVP VI Entrepreneurs Fund, L.P. Charles P. Waite, Jr. is a managing member of OVMC VI, LLC and shares voting and investment power over the shares held by OVP Venture Partners VI, L.P. and OVP VI Entrepreneurs Fund, L.P. Charles P. Waite, Jr. is also a managing member of OVMC VII, LLC, the

general partner of OVP Venture Partners VII, L.P. and OVP VII Entrepreneurs Fund, L.P., and shares voting and investment power over (3) the shares held by OVP Venture Partners VII, L.P. and OVP VII Entrepreneurs Fund, L.P. Mr. Waite, the other managing members of OVMC VI, LLC and OVMC VII, LLC, and each of the aforementioned entities disclaim beneficial ownership of the reported securities except to the extent of any pecuniary interest therein.

1,167,839 of these shares are owned by OVP Venture Partners VI, L.P., 16,102 of these shares are owned by OVP VI Entrepreneurs Fund, L.P., 528,528 of these shares are owned by OVP Venture Partners VII, L.P., and 1,633 of these shares are owned by OVP VII Entrepreneurs Fund, L.P.

1,167,839 of these shares are owned by OVP Venture Partners VI, L.P., 16,058 of these shares are owned by OVP VI Entrepreneurs Fund, L.P., 528,528 of these shares are owned by OVP Venture Partners VII, L.P., and 1,633 of these shares are owned by OVP VII (5) Entrepreneurs Fund, L.P.

Signatures

(4)

Edgar Filing: NanoString Technologies Inc - Form 4

1,167,839 of these shares are owned by OVP Venture Partners VI, L.P., 16,058 of these shares are owned by OVP VI Entrepreneurs
(6) Fund, L.P., 528,528 of these shares are owned by OVP Venture Partners VII, L.P., and 1,629 of these shares are owned by OVP VII Entrepreneurs Fund, L.P.

The "Amount" and "Price" reported in this Column 4 reflect the aggregate number and weighted-average price, respectively, of shares

(7) sold. These shares were sold in multiple transactions at prices ranging from \$11.055 to \$11.50, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.

1,148,561 of these shares are owned by OVP Venture Partners VI, L.P., 16,058 of these shares are owned by OVP VI Entrepreneurs
(8) Fund, L.P., 528,528 of these shares are owned by OVP Venture Partners VII, L.P., and 1,629 of these shares are owned by OVP VII Entrepreneurs Fund, L.P.

1,148,561 of these shares are owned by OVP Venture Partners VI, L.P., 16,058 of these shares are owned by OVP VI Entrepreneurs
(9) Fund, L.P., 518,097 of these shares are owned by OVP Venture Partners VII, L.P., and 1,629 of these shares are owned by OVP VII Entrepreneurs Fund, L.P.

1,148,561 of these shares are owned by OVP Venture Partners VI, L.P., 15,794 of these shares are owned by OVP VI Entrepreneurs
(10) Fund, L.P., 518,097 of these shares are owned by OVP Venture Partners VII, L.P., and 1,629 of these shares are owned by OVP VII Entrepreneurs Fund, L.P.

1,148,561 of these shares are owned by OVP Venture Partners VI, L.P., 15,794 of these shares are owned by OVP VI Entrepreneurs

(11) Fund, L.P., 518,097 of these shares are owned by OVP Venture Partners VII, L.P., and 1,602 of these shares are owned by OVP VII Entrepreneurs Fund, L.P.

Remarks:

Following the sales being reported on this Form 4, the Reporting Persons on this form are no longer subject to Section 16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.