

AMKOR TECHNOLOGY INC

Form 4

September 11, 2014

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
KIM JAMES J

2. Issuer Name **and** Ticker or Trading
Symbol
AMKOR TECHNOLOGY INC
[AMKR]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1900 S. PRICE ROAD
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
09/09/2014

☐ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

CHANDLER, AZ 85286

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/09/2014		M	V Amount (A) or (D) Price 60,000 A \$ 5.31	60,000	D	
Common Stock					49,594,980	I	915 Investments, LP <u>(1)</u> <u>(2)</u>
Common Stock					5,863,898	I	as trustee <u>(2)</u> <u>(3)</u>
Common Stock					1,957,350	I	as trustee <u>(2)</u> <u>(4)</u>
Common Stock					15,385,858	I	as trustee <u>(2)</u> <u>(5)</u>

Edgar Filing: AMKOR TECHNOLOGY INC - Form 4

Common Stock 23 I by spouse ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
Employee Stock Option (Right to Buy)	\$ 5.31	09/09/2014		M	60,000	⁽⁶⁾ 11/12/2014	Amkor Technology, Inc. Common Stock 60,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KIM JAMES J 1900 S. PRICE ROAD CHANDLER, AZ 85286	X	X		

Signatures

Jerry C. Allison, Attorney-in-Fact for James J. Kim 09/11/2014

 **Signature of Reporting Person

____ Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares held by 915 Investments, LP, in which Reporting Person is the general partner.

(2) The Reporting Person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein, and this report shall not be deemed an admission that the Reporting Person is the beneficial ownership of such securities, except to the extent of his

Edgar Filing: AMKOR TECHNOLOGY INC - Form 4

pecuniary interest therein, for purposes of the Securities and Exchange Act of 1934, as amended, or for any other purpose.

- (3) Shares held by the James J. Kim 2013 Qualified Annuity Trust, of which the Reporting Person is trustee.
- (4) Shares held by the John T. Kim 2012 Generation-Skipping Trust U/A dated 12/11/12, of which the Reporting Person is trustee.
- (5) Shares held by the John T. Kim 2012 Irrevocable Trust dated 12/11/12, of which the Reporting Person is trustee.
- (6) This option was fully vested on November 12, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.