

APOGEE ENTERPRISES, INC.

Form 4

March 27, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Puishys Joseph F.

2. Issuer Name **and** Ticker or Trading
Symbol
APOGEE ENTERPRISES, INC.
[APOG]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
4400 WEST 78TH STREET, SUITE
520

3. Date of Earliest Transaction
(Month/Day/Year)
03/25/2015

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chief Executive Officer & Pres

(Street)
MINNEAPOLIS, MN 55435

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/25/2015		M	30,000	A \$ 8.34	277,261 ⁽¹⁾	D
Common Stock	03/25/2015		S ⁽²⁾	30,000	D \$ 43.6851 ⁽³⁾	247,261	D
Common Stock	03/26/2015		M	30,000	A \$ 8.34	277,261	D
Common Stock	03/26/2015		S ⁽²⁾	30,000	D \$ 43.3015 ⁽⁴⁾	247,261	D

Edgar Filing: APOGEE ENTERPRISES, INC. - Form 4

Common Stock	03/27/2015	M	20,655	A	\$ 8.34	267,916	D
Common Stock	03/27/2015	S ⁽²⁾	20,655	D	\$ 42.972 <u>(5)</u>	247,261	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title		
Option (Right to Buy)	\$ 8.34	03/25/2015		M	30,000	<u>(6)</u>	08/22/2021	Common Stock		30,000
Option (Right to Buy)	\$ 8.34	03/26/2015		M	30,000	<u>(6)</u>	08/22/2021	Common Stock		30,000
Option (Right to Buy)	\$ 8.34	03/27/2015		M	20,655	<u>(6)</u>	08/22/2021	Common Stock		20,655

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Puishys Joseph F. 4400 WEST 78TH STREET SUITE 520 MINNEAPOLIS, MN 55435	X Chief Executive Officer & Pres

Signatures

/s/ Joseph F.
Puishys

03/27/2015

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes restricted stock awards under the 2009 Stock Incentive Plan.

(2) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on January 28, 2015 which was reported on a Form 8-K filed February 3, 2015.

The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$42.98 to \$44.265.

(3) The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$42.985 to \$43.75.

(4) The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$42.675 to \$43.27.

(5) The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

(6) Currently 100% exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.