

HOME BANCSHARES INC

Form 4

April 20, 2015

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Engelkes Jack

2. Issuer Name **and** Ticker or Trading
Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
04/16/2015

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

P.O. BOX 966

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock - Restricted					1,666	(1) (2)	D
Common Stock					96,055.7303	(3)	I
Common Stock					22,359.696		I
Common Stock					2,096.59	(4)	I

Owned
solely by
wifeCustodian
for
daughtersHeld in
Simple IRA

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Common Stock	200	I	Held in wife's IRA
Common Stock	56,960.363 ⁽⁵⁾	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 34.25	04/16/2015		A		10,000		<u>(6)</u>	04/15/2025	Common Stock	10,000
Stock Option	\$ 4.21							12/31/2007	12/31/2017	Common Stock	712
Stock Option	\$ 4.92							<u>(7)</u>	<u>(7)</u>	Common Stock	1,425
Stock Option	\$ 5.33							<u>(8)</u>	<u>(8)</u>	Common Stock	2,851
Stock Option	\$ 8.6							<u>(9)</u>	01/09/2018	Common Stock	2,376

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Engelkes Jack P.O. BOX 966 CONWAY, AR 72033		X		

Signatures

/s/Jack Engelkes by Rachel
Wesson

04/20/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.
- (2) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
- (3) Includes 25,999 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (4) Includes 8,245 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (5) Includes 142,446 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (6) The option is exercisable in five equal annual installments. The first installment becomes exercisable on April 16, 2016.
- (7) 40% of the issued option is remaining. The first 20% of the remaining option became exercisable on December 31, 2007 and expires on December 31, 2017. The last 20% of the remaining option became exercisable on December 31, 2008 and expires on December 31, 2018.
80% of the issued option is remaining. The first 20% of the remaining option became exercisable on December 31, 2006 and expires on December 31, 2016. The second 20% of the remaining option became exercisable on December 31, 2007 and expires on December 31, 2017. The third 20% of the remaining option became exercisable on December 31, 2008 and expires on December 31, 2018. The last 20% of the remaining option became exercisable on December 31, 2009 and expires on December 31, 2019.
- (8) 80% of the issued option is remaining. The first 20% of the remaining option became exercisable on December 31, 2006 and expires on December 31, 2016. The second 20% of the remaining option became exercisable on December 31, 2007 and expires on December 31, 2017. The third 20% of the remaining option became exercisable on December 31, 2008 and expires on December 31, 2018. The last 20% of the remaining option became exercisable on December 31, 2009 and expires on December 31, 2019.
- (9) The option is exercisable in five equal annual installments. The first installment became exercisable on January 10, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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