### MIDDLEFIELD BANC CORP

Form 4 April 22, 2015

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average burden hours per response... 0.5

Expires:

**OMB APPROVAL** 

3235-0287

January 31,

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Toth Robert W

2. Issuer Name and Ticker or Trading

Symbol

MIDDLEFIELD BANC CORP

[MBCN]

5. Relationship of Reporting Person(s) to Issuer

(Middle) (Last) (First) 3. Date of Earliest Transaction

(Month/Day/Year) 05/29/2009

\_X\_\_ Director 10% Owner Officer (give title Other (specify

(Check all applicable)

15985 EAST HIGH STREET, P. O. **BOX 35** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

#### MIDDLEFIELD, OH 44062

(City)	(State)	(Zip) Tak	ole I - Non-	-Derivative Se	curitie	es Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	05/29/2009		P	550	A	\$ 21.5	550	I	spouse's IRA
Common Stock	05/29/2009		P	255	A	\$ 21.5	255 (1)	D	
Common Stock	06/01/2009		P	295	A	\$ 21.5	550 (1)	D	
Common Stock	02/02/2011		P	12,500	A	\$ 16	12,881 (2)	D	
Common Stock	02/14/2011		A	200	A	\$ 18	200	D	

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Common Stock	08/12/2011	P	3,125	A	\$ 16	3,125	I	by spouse
Common Stock	04/20/2012	A	246	A	\$ 18.25	446	D	
Common Stock	07/20/2012	P	2,000	A	\$ 23	16,004.192 (2) (3)	D	
Common Stock	12/17/2012	P	1,041.667	A	\$ 24	17,405.489 (2) (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer	Other			
Toth Robert W 15985 EAST HIGH STREET P. O. BOX 35 MIDDLEFIELD, OH 44062	X						

# **Signatures**

Robert W. Toth by James R. Heslop, II Power of 04/22/2015 Attorney

> \*\*Signature of Reporting Person Date

2 Reporting Owners

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held in Individual Retirement Account
- (2) Held jointly with spouse.
- (3) Includes shares acquired under MBCN Dividend Reinvestment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.