Edgar Filing: Conatus Pharmaceuticals Inc. - Form 4

Conatus Ph Form 4	armaceuticals Inc.								
February 01	1, 2017								
FORM	ЛД							PPROVAL	
UNITED STATE			SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					3235-0287	
Check t if no lot subject Section Form 4 Form 5 obligati may con <i>See</i> Inst 1(b).	nger to 16. or Filed pur ons ntinue.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type	Responses)								
1. Name and Address of Reporting Person <u>*</u> Cashion Charles			2. Issuer Name and Ticker or Trading Symbol Conatus Pharmaceuticals Inc. [CNAT]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
		(Mo 01/2 C., 16745	ate of Earliest T nth/Day/Year) 26/2017	ransaction		Director X Officer (gi below) SVP, Fr		% Owner her (specify 1 Sec.	
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
SAN DIEC	GO, CA 92127					Person			
(City)	(State)	(Zip)	Table I - Non-l	Derivative Sec	urities A	cquired, Disposed	of, or Beneficia	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Ye	Code ear) (Instr. 8)	TransactionAcquired (A) or Code Disposed of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Re	eport on a separate line	e for each class of	f securities bene	Persons	who res	pond to the colle		SEC 1474	
				required	to respo	ained in this forn and unless the fo ntly valid OMB co	rm	(9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

number.

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 4.21	01/26/2017		А	57,500	<u>(1)</u>	01/25/2027	Common Stock	57,500
Reporting Owners									

Reporting Owner Name / Address		Relationships				
	Director	10% Owner	Officer			
Cashion Charles C/O CONATUS PHARMACEUTICALS INC. 16745 WEST BERNARDO DRIVE, SUITE 200 SAN DIEGO, CA 92127			SVP, Finance, CFO and Sec.			

Signatures

Reporting Person

/s/ Charles J. Cashion 02/01/2017 **Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option was granted on January 26, 2017. 25% of the total number of shares of common stock subject to the option will vest on
- (1) January 26, 2018, and 1/48th of the total number of shares of common stock subject to the option will vest monthly thereafter, subject to the Reporting Person's continued employment or service relationship with the Issuer on each such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Other