

Overby Paul Ivar  
Form 3  
July 28, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |          |                                      |  |  |  |
|---|---------|----------|--------------------------------------|--|--|--|
| 1. Name and Address of Reporting Person * |         |          | 2. Date of Event Requiring Statement |  | 3. Issuer Name <b>and</b> Ticker or Trading Symbol   |  |
| Â Overby Paul Ivar                        |         |          | (Month/Day/Year)                     |  | WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP [WAB]   |  |
| (Last)                                    | (First) | (Middle) | 07/19/2017                           |  | 4. Relationship of Reporting Person(s) to Issuer   |  |
| 1001 AIR BRAKE AVENUE                     |         |          |                                      |  | 5. If Amendment, Date Original Filed(Month/Day/Year)   |  |
| (Street)                                  |         |          |                                      |  | (Check all applicable)   |  |
| WILMERDING,Â PAÂ 15148                    |         |          |                                      |  | 6. Individual or Joint/Group Filing(Check Applicable Line)   |  |
| (City)                                    | (State) | (Zip)    |                                      |  | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below)    (specify below)<br>VP Corporate Strategy |  |
|   |         |          |                                      |  | <input type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person   |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock - Direct              | 1,400  | D   | Â  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security:<br>Direct (D)<br>or Indirect | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|--|--|--|
|   | Date Exercisable    Expiration Date                         | Title    Amount or Number of   |  |  |  |

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|                            |                           |            |              | Shares |          | (I)<br>(Instr. 5) |   |
|----------------------------|---------------------------|------------|--------------|--------|----------|-------------------|---|
| Non Qualified Stock Option | 03/01/2020 <sup>(1)</sup> | 02/09/2026 | Common Stock | 840    | \$ 61.33 | D                 | Â |
| Non-Qualified Stock Option | 03/01/2021 <sup>(2)</sup> | 02/07/2027 | Common Stock | 560    | \$ 87.05 | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                                    | Relationships |           |                         |       |
|---|---------------|-----------|-------------------------|-------|
|   | Director      | 10% Owner | Officer                 | Other |
| Overby Paul Ivar<br>1001 AIR BRAKE AVENUE<br>WILMERDING, PA 15148 | Â             | Â         | Â VP Corporate Strategy | Â     |

## Signatures

David L. DeNinno, POA for Paul Overby  
 Signature of Reporting Person: \_\_\_\_\_ Date: 07/28/2017

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option is exercisable as to 210 shares on March 1, 2017 and will become exercisable as to 210 shares on each of March 1, 2018, 2019 and 2020.
- (2) This option becomes exercisable as to 140 shares on each of March 1, 2018, 2019, 2020 and 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.