#### FIREHAMMER RICHARD A JR

Form 4

February 20, 2018

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

3235-0287 Number: January 31,

Expires:

2005 Estimated average

burden hours per response... 0.5

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and A FIREHAMN	2. Issuer Name and Ticker or Trading Symbol UNIVERSAL ELECTRONICS INC [UEIC]						5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last) 201 EAST S FLOOR	(First) ANDPOINTE,	(Middle) 8TH	3. Date of Earliest Transaction (Month/Day/Year) 02/16/2018					Director 10% Owner Senior Vice President			
SANTA AN	4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(State)	(Zip)	Table	I Non	D.	autuativa (	S	:+:aa <b>A</b> aa	Person	f on Donoficial	le: Oremod
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea		3.	tio	4. Securit n(A) or Di (Instr. 3, 4	ies Ac	equired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	f, or Beneficially Owned  6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4)		
Common Stock	02/16/2018			M		860 (1)	A	\$0	3,246	D	
Common Stock	02/16/2018			F		429 (2)	D	\$ 44.74 (3)	2,817	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: FIREHAMMER RICHARD A JR - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		/Year) Execution Date, if Transaction of any Code Deri (Month/Day/Year) (Instr. 8) Secution (A) of Code Deri (Instr. 8) Secution (A) of Code (Instr. 8) Secution (Inst		Derive Derive Derive Acque (A) or Disposof (D)	Derivative (Month/Day/Year) ecurities Acquired A) or Disposed f (D) Instr. 3, 4,		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Deriva Securit (Instr. :
				Code V	V (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(4)</u>	02/16/2018		M	860		<u>(5)</u>	(5)	Common Stock	860	\$ (

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FIREHAMMER RICHARD A JR 201 EAST SANDPOINTE 8TH FLOOR SANTA ANA, CA 92707

Senior Vice President

## **Signatures**

/s/Richard A.
Firehammer, Jr.

02/20/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock issued pursuant to a Restricted Stock Grant approved by the Compensation Committee of the Board of Directors on January 19, 2017, and ratified by the Board of Directors on February 16, 2017
- (2) This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.
- (3) Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.
- (4) Each restricted stock unit represents a contingent right to receive one share of UEI common stock.
- (5) The restricted stock units vest in accordance with the vesting schedule of each RSU Grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2