

Neff Thomas B  
Form 4  
March 08, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Neff Thomas B

(Last) (First) (Middle)

C/O FIBROGEN, INC., 409 ILLINOIS ST.

(Street)

SAN FRANCISCO, CA 94158

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
FIBROGEN INC [FGEN]

3. Date of Earliest Transaction (Month/Day/Year)  
03/06/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chief Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	03/06/2018		F		16,199 (1)	D	
Common Stock	03/07/2018		M		10,265	D	
Common Stock	03/07/2018		S		8,802 (2)	D	
Common Stock	03/07/2018		S		7,800 (2)	D	
	03/07/2018		S			D	

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Common Stock			2,298 <u>(2)</u>		\$ 54.14 <u>(5)</u>			
Common Stock	03/07/2018	S	700 <u>(2)</u>	D	\$ 52.82 <u>(6)</u>	121,634	I	By Family Partnership
Common Stock	03/07/2018	S	218 <u>(2)</u>	D	\$ 53.91 <u>(7)</u>	121,416	I	By Family Partnership
Common Stock	03/08/2018	M	7,005	A	\$ 2.35	2,905,795	D	
Common Stock	03/08/2018	M	3,314	A	\$ 3.6	2,909,109	D	
Common Stock	03/08/2018	S	6,400 <u>(2)</u>	D	\$ 52.74 <u>(8)</u>	2,902,709	D	
Common Stock	03/08/2018	S	11,800 <u>(2)</u>	D	\$ 53.44 <u>(9)</u>	2,890,909	D	
Common Stock	03/08/2018	S	700 <u>(2)</u>	D	\$ 54.09 <u>(10)</u>	2,890,209	D	
Common Stock	03/08/2018	S	300 <u>(2)</u>	D	\$ 52.55 <u>(11)</u>	121,116	I	By Family Partnership
Common Stock	03/08/2018	S	618 <u>(2)</u>	D	\$ 53.59 <u>(12)</u>	120,498	I	By Family Partnership
Common Stock						19,500	I	By Spouse
Common Stock						60,946	I	See footnote <u>(13)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Disposition
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The shares were sold at prices ranging from \$54.00 to \$54.25. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

- (6) The shares were sold at prices ranging from \$52.30 to \$53.20. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (7) The shares were sold at prices ranging from \$53.55 to \$54.25. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (8) The shares were sold at prices ranging from \$52.05 to \$53.00. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (9) The shares were sold at prices ranging from \$53.05 to \$53.975. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (10) The shares were sold at prices ranging from \$54.00 to \$54.10. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (11) The shares were sold at prices ranging from \$52.05 to \$52.85. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (12) The shares were sold at prices ranging from \$53.20 to \$54.10. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (13) The shares are held by BioGrowth Partners, LP. The reporting person is the sole general partner of BioGrowth Partners, LP and has sole voting and dispositive power over the shares held by BioGrowth Partners, LP.
- (14) Fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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