Acuta Capital Fund, LP Form 4 May 01, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Acuta Capital Fund, LP

2. Issuer Name and Ticker or Trading

Symbol

CAS MEDICAL SYSTEMS INC

5. Relationship of Reporting Person(s) to Issuer

[CASM]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

01/04/2018

\_X\_\_ 10% Owner Director Officer (give title \_ Other (specify below)

1301 SHOREWAY ROAD, SUITE

(Street)

(First)

(Middle)

350

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

BELMONT, CA 94002

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit ord Dispos (Instr. 3, 4	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/08/2017		P	1,340	A	\$ 1.4445 (1)	2,903,117	D	
Common Stock	03/09/2017		P	7,490	A	\$ 1.484 (2)	2,910,607	D	
Common Stock	03/10/2017		P	895	A	\$ 1.5008 (3)	2,911,502	D	
Common Stock	03/13/2017		P	3,292	A	\$ 1.4664	2,914,764	D	

					<u>(4)</u>		
Common Stock	03/15/2017	P	1,632	A	\$ 1.5232 (5)	2,916,426	D
Common Stock	03/16/2017	P	2,010	A	\$ 1.4866 (6)	2,918,436	D
Common Stock	03/17/2017	P	16,853	A	\$ 1.4969 (7)	2,935,289	D
Common Stock	06/20/2017	P	37,520	A	\$ 1.0076 (8)	2,972,809	D
Common Stock	06/21/2017	P	41,540	A	\$ 1.042 (9)	3,014,349	D
Common Stock	06/22/2017	P	25,551	A	\$ 1.0944 (10)	3,039,900	D
Common Stock	06/23/2017	P	21,142	A	\$ 1.1397 (11)	3,061,042	D
Common Stock	06/26/2017	P	28,476	A	\$ 1.1389 (12)	3,089,518	D
Common Stock	06/27/2017	P	7,595	A	\$ 1.1731 (13)	3,097,113	D
Common Stock	06/28/2017	P	17,643	A	\$ 1.178 (14)	3,114,756	D
Common Stock	06/29/2017	P	25,519	A	\$ 1.177 (15)	3,140,275	D
Common Stock	06/30/2017	P	21,250	A	\$ 1.1486 (16)	3,161,525	D
Common Stock	07/03/2017	P	1,335	A	\$ 1.1667 (17)	3,162,860	D
Common Stock	07/05/2017	P	111	A	\$ 1.18	3,162,971	D
Common Stock	07/06/2017	P	7,916	A	\$ 1.1697 (18)	3,170,887	D
	07/07/2017	P	4,216	A		3,175,103	D

Common Stock					\$ 1.1796 (19)		
Common Stock	07/10/2017	P	3,160	A	\$ 1.195 (20)	3,178,263	D
Common Stock	07/11/2017	P	10,270	A	\$ 1.1967 (21)	3,188,533	D
Common Stock	07/12/2017	P	6,320	A	\$ 1.191 (22)	3,194,853	D
Common Stock	07/13/2017	P	3,485	A	\$ 1.156 (23)	3,198,338	D
Common Stock	07/14/2017	P	8,690	A	\$ 1.1899 (24)	3,207,028	D
Common Stock	07/17/2017	P	5,530	A	\$ 1.1814 (25)	3,212,558	D
Common Stock	07/18/2017	P	5,685	A	\$ 1.1619 (26)	3,218,243	D
Common Stock	07/19/2017	P	7,900	A	\$ 1.1641 (27)	3,226,143	D
Common Stock	07/21/2017	P	3,625	A	\$ 1.1631 (28)	3,229,768	D
Common Stock	07/24/2017	P	8,690	A	\$ 1.1695 (29)	3,238,458	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	re	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Own
	Security				Acquired				Follo

(A) or Disposed of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Exercisable

Expiration Title Amount ole Date or

or Number of Shares

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Acuta Capital Fund, LP 1301 SHOREWAY ROAD SUITE 350 BELMONT, CA 94002

X

### **Signatures**

Acuta Capital Fund, LP, by its general partner, Acuta Capital Partners, LLC, /s/ Manfred Yu, CCO & COO

05/01/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares were purchased in multiple transactions at prices ranging from \$1.44 to \$1.45 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.45 to \$1.51 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.50 to \$1.51 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.45 to \$1.49 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.51 to \$1.54 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.48 to \$1.50 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.46 to \$1.53 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

(8)

Reporting Owners 4

Repo Trans (Instr

The shares were purchased in multiple transactions at prices ranging from \$0.992 to \$1.06 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

- The shares were purchased in multiple transactions at prices ranging from \$1.02 to \$1.06 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.06 to \$1.13 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.11 to \$1.17 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.11 to \$1.15 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.14 to \$1.195 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.16 to \$1.20 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
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- The shares were purchased in multiple transactions at prices ranging from \$1.18 to \$1.20 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.18 to \$1.22 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
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- The shares were purchased in multiple transactions at prices ranging from \$1.17 to \$1.20 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

(25)

The shares were purchased in multiple transactions at prices ranging from \$1.18 to \$1.19 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

- The shares were purchased in multiple transactions at prices ranging from \$1.14 to \$1.18 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.15 to \$1.18 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.15 to \$1.17 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The shares were purchased in multiple transactions at prices ranging from \$1.15 to \$1.19 per share. The price reported reflects the volume weighted average purchase price for the transactions. The reporting person undertakes to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

#### **Remarks:**

Due to limitations of the electronic filing system, this Form 4 is being filed in multiple parts. This is part 2 of 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.