

BUNTE AL
Form 4
August 16, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BUNTE AL

2. Issuer Name and Ticker or Trading Symbol
COMMVAULT SYSTEMS INC
[CVLT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
08/14/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Executive Vice President & COO

1 COMMVAULT WAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

TINTON FALLS, NJ 07724

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				Code V	Amount		
Common Stock ⁽¹⁾	08/14/2018	08/14/2018	F		2,521	D	
					\$ 66 ₍₂₎		
					499,312.4724		D
Common Stock ⁽³⁾	08/15/2018	08/15/2018	A		134.8011	A	
					\$ 44.84		
					499,447.2735		D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: BUNTE AL - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities (Instr. 5)																			
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares																			
						Code			V (A) (D)																			
<p>Reporting Owners</p> <table border="0" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; width: 45%;">Reporting Owner Name / Address</th> <th colspan="4" style="text-align: center;">Relationships</th> </tr> <tr> <td></td> <th style="text-align: center;">Director</th> <th style="text-align: center;">10% Owner</th> <th style="text-align: center;">Officer</th> <th style="text-align: center;">Other</th> </tr> </thead> <tbody> <tr> <td style="vertical-align: top;"> <p>BUNTE AL 1 COMMVault WAY TINTON FALLS, NJ 07724</p> </td> <td style="text-align: center; vertical-align: middle;">X</td> <td></td> <td colspan="2" style="vertical-align: middle;">Executive Vice President & COO</td> </tr> </tbody> </table> <p>Signatures</p> <table border="0" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Warren H. Mondschein, Attorney-in-Fact</td> <td style="text-align: center; vertical-align: bottom;">08/16/2018</td> </tr> <tr> <td style="font-size: small;">**Signature of Reporting Person</td> <td style="text-align: center; font-size: small;">Date</td> </tr> </table> <p>Explanation of Responses:</p> <p>* If the form is filed by more than one reporting person, <i>see</i> Instruction 4(b)(v).</p> <p>** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. <i>See</i> 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).</p> <p>(1) This sale of common stock was made to satisfy certain tax withholding obligations resulting from the vesting of restricted stock units.</p> <p>(2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$66.00 to \$66.33 inclusive.</p> <p>(3) Shares were acquired under the Commvault Employee Stock Purchase Plan on Aug. 15, 2018.</p> <p>Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, <i>see</i> Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.</p>										Reporting Owner Name / Address	Relationships					Director	10% Owner	Officer	Other	<p>BUNTE AL 1 COMMVault WAY TINTON FALLS, NJ 07724</p>	X		Executive Vice President & COO		Warren H. Mondschein, Attorney-in-Fact	08/16/2018	**Signature of Reporting Person	Date
Reporting Owner Name / Address	Relationships																											
	Director	10% Owner	Officer	Other																								
<p>BUNTE AL 1 COMMVault WAY TINTON FALLS, NJ 07724</p>	X		Executive Vice President & COO																									
Warren H. Mondschein, Attorney-in-Fact	08/16/2018																											
**Signature of Reporting Person	Date																											