

DOLUCA TUNC
Form 4
September 06, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
DOLUCA TUNC

2. Issuer Name **and** Ticker or Trading
Symbol
MAXIM INTEGRATED
PRODUCTS INC [MXIM]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
160 RIO ROBLES
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
09/04/2018

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
PRESIDENT, CEO & DIRECTOR

SAN JOSE, CA 95134

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/04/2018		A	(A) or (D) Amount (1) \$ 0	129,584 (2) (3)	D	
Common Stock	09/04/2018		A	(A) or (D) Amount (4) \$ 0	134,584 (2)	D	
Common Stock	09/04/2018		A	(A) or (D) Amount (5) \$ 0	139,584 (2)	D	
Common Stock	09/04/2018		A	(A) or (D) Amount (6) \$ 0	174,584 (2)	D	
Common Stock					1,186,496 (3)	I	By Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Performance Shares	\$ 0	09/04/2018		A	56,000 (7)	(8) (8)	Common Stock 56,000

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
DOLUCA TUNC 160 RIO ROBLES SAN JOSE, CA 95134	X PRESIDENT, CEO & DIRECTOR

Signatures

BY MARK CASPER FOR TUNC
DOLUCA 09/05/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents Restricted Stock Units that vest over four quarters on February 15, 2019, May 15, 2019, August 15, 2019, and November 15, 2019, subject to the individual's continuous status as an employee through such dates but continue to vest post-employment at the Company if the Reporting Person satisfies specific eligibility requirements.

(1) Represents unvested Restricted Stock Units and Common Stock.

(2) Includes the transfer of 6,537 shares from direct holdings to indirect holdings.

Represents Restricted Stock Units that vest over four quarters on February 15, 2020, May 15, 2020, August 15, 2020, and November 15, 2020, subject to the individual's continuous status as an employee through such dates but continue to vest post-employment at the Company if the Reporting Person satisfies specific eligibility requirements.

(5)

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Represents Restricted Stock Units that vest over four quarters on February 15, 2021, May 15, 2021, August 15, 2021, and November 15, 2021, subject to the individual's continuous status as an employee through such dates but continue to vest post-employment at the Company if the Reporting Person satisfies specific eligibility requirements.

- (6) Represents Restricted Stock Units that vest over four quarters on February 15, 2022, May 15, 2022, August 15, 2022, and November 15, 2022, subject to the individual's continuous status as an employee through such dates but continue to vest post-employment at the Company if the Reporting Person satisfies specific eligibility requirements.
- (7) Represents the target number of Performance Shares that will be earned, if at all, based on the achievement of certain pre-established performance goals. Each Performance Share Unit represents a contingent right to receive one share of MXIM common stock.
- (8) Vesting of the Performance Share Units will be on 8/15/2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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