

Greenman William Mariner  
Form 4  
March 13, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Greenman William Mariner

(Last) (First) (Middle)

C/O CERUS CORPORATION, 2550  
STANWELL DRIVE

(Street)

CONCORD, CA 94520

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
CERUS CORP [CERS]

3. Date of Earliest Transaction  
(Month/Day/Year)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

President and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/10/2019		M	22,500 A	551,552 <sup>(2)</sup>	D	
Common Stock	03/12/2019		S	7,781 <sup>(3)</sup> D	\$ 6.48 543,771	D	
Common Stock	03/12/2019		M	28,125 A	571,896	D	
Common Stock	03/13/2019		S	10,619 <sup>(3)</sup> D	\$ 5.8995 561,277	D	
Common Stock	03/12/2019		M	71,667 A	632,944	D	

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Common Stock      03/13/2019      S      27,059  
(3)      D      \$ 5.8997      605,885      D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. De		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	(1)	03/10/2019		M		22,500		(4)	(4)	Common Stock	22,500
Restricted Stock Unit	(1)	03/12/2019		M		28,125		(6)	(6)	Common Stock	28,125
Restricted Stock Unit	(1)	03/12/2019		M		71,667		(7)	(7)	Common Stock	71,667

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Greenman William Mariner C/O CERUS CORPORATION 2550 STANWELL DRIVE CONCORD, CA 94520	X		President and CEO	

## Signatures

William M. Greenman, by Chrystal Menard,  
attorney-in-fact

03/13/2019

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one (1) share of Cerus Common Stock.
- (2) Includes 1,951 shares purchased under Issuer's Employee Stock Purchase Plan on 02/28/19.
- (3) Represents the number of shares required to be sold to cover the statutory tax withholding obligations and corresponding brokerage fee in connection with the vesting of the restricted stock units listed in Table II. This sale is mandated by the election to satisfy the minimum statutory tax withholding obligations through a "sell to cover" transaction and does not represent a discretionary sale by the reporting person.
- (4) The restricted stock unit vests in three equal annual installments beginning on March 10, 2017.
- (5) Not applicable.
- (6) The restricted stock unit vests in three equal annual installments beginning on March 12, 2018.
- (7) The restricted stock unit vests in three equal annual installments beginning on March 12, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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