Edgar Filing: GREAT SOUTHERN BANCORP INC - Form 4

GREAT SOUTHERN BANCORP INC

Form 4

January 27, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

OMB APPROVAL

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

stock

(Print or Type Responses)

| | (Time of Type I | (Caponises) | | | | | | | | | | |
|--|--------------------------------------|---|--|----------|--|--------------------------|--------|---|--|--|---|--|
| | | | | | | |] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| | | CARE OF GREAT SOUTHERN 01/25/2 BANK, 218 S. GLENSTONE | | | e of Earliest Transaction h/Day/Year) 5/2017 | | | | Director 10% Owner Officer (give title Other (specify below) Senior Vice Pres of Subsidiary | | | |
| | SPRINGFI | (Street) ELD, MO 65802 | | | endment, Da nth/Day/Yea | _ | al | - - | 5. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by Merson | ne Reporting Per | rson | |
| | (City) | (State) | (Zip) | Tab | le I - Non-I | Derivative | Secu | rities Acqu | ired, Disposed of, | or Beneficial | ly Owned | |
| | 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deem Execution any (Month/D | Date, if | 3. Transaction Code (Instr. 8) | omr Dispos (Instr. 3, | sed of | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | Common stock | 01/25/2017 | | | M | 1,050 | A | \$ 24.82 | 60,607 | D | | |
| | Common stock | 01/25/2017 | | | M | 1,050 | A | \$ 29.64 | 61,657 | D | | |
| | Common stock | 01/25/2017 | | | S | 2,100 | D | \$ 52.6214 | 59,557 | D | | |
| | Common stock | | | | | | | | 30,202 | I | Spouse | |

Edgar Filing: GREAT SOUTHERN BANCORP INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. De Se (In | |
|---|---|--------------------------------------|---|---|-----|--|---------------------|---|-----------------|--|----|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Option to purchase | \$ 24.82 | 01/25/2017 | | M | | 1,050 | <u>(1)</u> | 11/28/2022 | Common stock | 2,100 | \$ |
| Option to purchase | \$ 29.64 | 01/25/2017 | | M | | 1,050 | (2) | 12/18/2023 | Common stock | 3,150 | \$ |
| Option to purchase | \$ 32.59 | | | | | | (3) | 10/15/2024 | Common stock | 3,150 | |
| Option to purchase | \$ 50.71 | | | | | | <u>(4)</u> | 11/18/2025 | Common Stock | 4,200 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|---------------------|--|--|--|
| . 6 | Director | 10% Owner | Officer | Other | | | |
| MITCHEM STEVEN G | | | | | | | |
| CARE OF GREAT SOUTHERN BANK | | | | Senior Vice Pres of | | | |
| 218 S. GLENSTONE AVENUE | | | | Subsidiary | | | |
| SPRINGFIELD, MO 65802 | | | | | | | |

Signatures

| Matt Snyder, Attorney-in-fact for Steven G. | |
|---|------------|
| Mitchem | 01/27/2017 |
| **Signature of Reporting Person | Date |

Reporting Owners 2

Edgar Filing: GREAT SOUTHERN BANCORP INC - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1,050 shares vest on 11/28/2016 and 11/28/2017
- (2) 1,050 shares vest on 12/18/2016, 12/18/2017 and 12/18/2018
- (3) 1,050 shares vest on 10/15/2017, 10/15/2018 and 10/15/2019
- (4) 1,050 shares vest on 11/18/2017, 11/18/2018, 11/18/2019 and 11/18/2020

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.