Martin Derek Form 3/A February 14, 2019

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

C/O FREDERIC DORWART.

LAWYERS PLLC, 124 EAST

(Street)

Martin Derek

(Last)

FOURTH STREET

(First) (Middle) 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

12/01/2018

BOK FINANCIAL CORP ET AL [BOKF]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year) 12/07/2018

(Check all applicable)

Director

10% Owner Other

\_X\_\_ Officer (give title below) (specify below) EVP - Consumer Banking

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

TULSA. OKÂ 74103

(City) (State) (Zip)

1. Title of Security

(Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

(I)

Direct (D) or Indirect Ownership (Instr. 5)

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Common Stock

 $5,125 \stackrel{(1)}{=} \stackrel{(2)}{=}$ 

(Instr. 5) D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

## Edgar Filing: Martin Derek - Form 3/A

Date Expiration Title Exercisable Date

Amount or Security Number of Shares

Direct (D) or Indirect (I) (Instr. 5)

**Reporting Owners** 

Relationships Reporting Owner Name / Address

Director 10% Owner Officer

Other

Martin Derek

C/O FREDERIC DORWART, LAWYERS PLLC 124 EAST FOURTH STREET TULSA, OKÂ 74103

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EVP - Consumer Banking Â

**Signatures** 

/s/ Frederic Dorwart, Power of Attorney

02/14/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - 3,777 of these shares represent restricted stock which vests 3 years from the date of grant. Shares are subject to forfeiture (i) upon
- (1) termination of employment prior to vesting, and (ii) if certain performance earnings per share targets established pursuant to BOKF Executive Incentive Plan are not met.
- (2) This Form 3/Amendment is being filed to correct the Amount of Securities Beneficially Owned due to a scrivener's error.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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