

KAYNE RICHARD A
Form 4
October 04, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KAYNE RICHARD A

2. Issuer Name and Ticker or Trading Symbol
KAYNE ANDERSON
MIDSTREAM/ENERGY FUND,
INC. [KMF]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director
___ Officer (give title below) ___X___ Other (specify below)
See remarks

(Last) (First) (Middle)
,
,
,
(City) (State) (Zip)

3. Date of Earliest Transaction (Month/Day/Year)
10/02/2018
4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
___ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V Amount or (D) Price			
Common Stock					203,844	D	
Common Stock	10/02/2018		S	20,000 D \$ 13.0022	113,568	I	See footnotes (1) (2) (3)
Common Stock	10/02/2018		S	109,568 D \$ 129,559	4,000	I	See footnotes (1) (2) (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 5)
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KAYNE RICHARD A				See remarks
KAYNE ANDERSON CAPITAL ADVISORS LP 1800 AVENUE OF THE STARS 3RD FLOOR LOS ANGELES, CA 90067				See remarks
KA Fund Advisors LLC 1100 LOUISIANA STREET SUITE 4550 HOUSTON, TX 77002				See Remarks

Signatures

/s/ David Shladovsky by Power of Attorney
Date: 10/04/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- The 129,568 shares sold were held by Kayne Anderson Capital Advisors, L.P. ("KACALP"), Richard Kayne is the majority owner and chairman of KACALP. 20,000 shares were sold to James C. Baker, President of KMF, in a negotiated transaction based on the VWAP price for October 2, 2018.
- (1) chairman of KACALP. 20,000 shares were sold to James C. Baker, President of KMF, in a negotiated transaction based on the VWAP price for October 2, 2018.
 - (2) 4,000 shares held by KA Fund Advisors, LLC ("KAFA"). KACALP (and Mr. Kayne by virtue of his ownership of KACALP) is the majority owner of KAFA.
 - (3) Mr. Kayne disclaims beneficial ownership of all shares held or controlled by KACALP and KAFA to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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