Activision Blizzard, Inc. Form 4

August 07, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Activision Blizzard, Inc. [ATVI]

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Wereb Stephen G

(First)

(Middle)

C/O ACTIVISION PUBLISHING. INC., 3100 OCEAN PARK **BOULEVARD** 

(Street)

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

(Month/Day/Year)

08/05/2013

Symbol

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

Principal Accounting Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### SANTA MONICA, CA 90405

(City)	(State)	(Zip) Tabl	e I - Non-l	Derivative S	ecurit	ies Acquirec	d, Disposed of, o	r Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitie onDisposed o (Instr. 3, 4	of (D)	uired (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.000001 per share	08/05/2013		Code V	Amount 30,478	(D)	Price \$ 17.7458 (1)	(Instr. 3 and 4) 83,421	D	
Common Stock, par value \$0.000001 per share	08/05/2013		S	14,564	D	\$ 17.7306 (2)	68,857	D	
	08/07/2013		M	103,334	A	\$ 11.88	172,191	D	

Common Stock, par value \$0.000001 per share							
Common Stock, par value \$0.000001 per share	08/07/2013	S	103,334	D	\$ 17.4648 (3)	68,857	D
Common Stock, par value \$0.000001 per share	08/07/2013	M	20,000	A	\$ 10.375	88,857	D
Common Stock, par value \$0.000001 per share	08/07/2013	S	20,000	D	\$ 17.4648 (3)	68,857	D
Common Stock, par value \$0.000001 per share	08/07/2013	M	17,500	A	\$ 10.47	86,357	D
Common Stock, par value \$0.000001 per share	08/07/2013	S	17,500	D	\$ 17.4648 (3)	68,857 <u>(4)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	tion (	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	V (	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun Numbe

								Shares
Employee Stock Options	\$ 11.88	08/07/2013	M	103,334	<u>(5)</u>	11/08/2020	Common Stock, par value \$0.000001 per share	103,3
Employee Stock Options	\$ 10.375	08/07/2013	M	20,000	<u>(6)</u>	11/15/2017	Common Stock, par value \$0.000001 per share	20,00
Employee Stock Options	\$ 10.47	08/07/2013	M	17,500	<u>(7)</u>	11/17/2018	Common Stock, par value \$0.000001 per share	17,50

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Wereb Stephen G C/O ACTIVISION PUBLISHING, INC. 3100 OCEAN PARK BOULEVARD SANTA MONICA, CA 90405

Principal Accounting Officer

# **Signatures**

/s/ Stephen G. 08/07/2013 Wereb

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price in column 4 is a weighted average price. The prices actually received for the stock ranged from \$17.73 to \$17.76 per share. Mr. (1) Wereb has provided the Company, and upon request, will provide any security holder of the Company or the SEC staff, with information regarding the number of shares sold at each price within that range.
- The price in column 4 is a weighted average price. The prices actually received for the stock ranged from \$17.73 to \$17.731 per share.

  (2) Mr. Wereb has provided the Company, and upon request, will provide any security holder of the Company or the SEC staff, with information regarding the number of shares sold at each price within that range.
- The price in column 4 is a weighted average price. The prices actually received for the stock ranged from \$17.38 to \$17.62 per share. Mr. (3) Wereb has provided the Company, and upon request, will provide any security holder of the Company or the SEC staff, with information regarding the number of shares sold at each price within that range.
- (4) Following the transactions reported on this Form 4, Mr. Wereb held 68,857 restricted stock units, each representing the right to receive one share of the Company's common stock.

(5)

Reporting Owners 3

### Edgar Filing: Activision Blizzard, Inc. - Form 4

One-half of these exercised options to purchase shares of the Company's common stock vested, and were exercisable, on each of June 29, 2012 and June 29, 2013. The remaining 51,666 options in the grant vest on June 29, 2014.

- (6) These options to purchase shares of the Company's common stock were exercisable in full as of November 15, 2010.
- (7) These options to purchase shares of the Company's common stock were exercisable in full as of November 17, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.