

APACHE CORP
Form 3
January 07, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Johnston Robert V. II | | (Month/Day/Year) | APACHE CORP [APA] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | | | |
| 2000 POST OAK BLVD.,Â SUITE 100 | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| | | | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| | | | (give title below) | (specify below) |
| HOUSTON,Â TXÂ 77056-4400 | | | Executive Vice President | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | | <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock ⁽¹⁾ | 6,341 | D | Â |
| Common Stock ⁽¹⁾ | 23,046.883 | I | Held by Trustee of 401(k) Plan |
| Common Stock ⁽¹⁾ | 18,932.256 | I | Held by Trustee of NQ Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying | 4. Conversion | 5. Ownership | 6. Nature of Indirect Beneficial |
|---------------------------------|---|--|---------------|--------------|----------------------------------|
|---------------------------------|---|--|---------------|--------------|----------------------------------|

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| (Instr. 4) | Date Exercisable | Expiration Date | Derivative Security (Instr. 4) Title | Amount or Number of Shares | or Exercise Price of Derivative Security | Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | Ownership (Instr. 5) |
|-------------------------------------|------------------|-----------------|---|----------------------------|--|--|----------------------|
| Phantom Stock Units <u>(2)</u> | Â <u>(3)</u> | Â <u>(3)</u> | Common Stock <u>(1)</u> | 19,895.3437 | \$ 0 <u>(2)</u> | D | Â |
| Restricted Stock / Units <u>(4)</u> | Â <u>(6)</u> | Â <u>(6)</u> | Common Stock <u>(1)</u> | 18,586 | \$ 0 <u>(5)</u> | D | Â |
| Option (Buy \$56.73) <u>(4)</u> | Â <u>(7)</u> | 05/05/2015 | Common Stock <u>(1)</u> | 4,100 | \$ 56.73 | D | Â |
| Option (Buy \$71.88) <u>(4)</u> | Â <u>(8)</u> | 05/03/2016 | Common Stock <u>(1)</u> | 3,800 | \$ 71.88 | D | Â |
| Option (Buy \$74.10) <u>(4)</u> | Â <u>(9)</u> | 05/02/2017 | Common Stock <u>(1)</u> | 3,900 | \$ 74.1 | D | Â |
| Option (Buy \$135.83) <u>(4)</u> | Â <u>(10)</u> | 05/07/2018 | Common Stock <u>(1)</u> | 2,417 | \$ 135.83 | D | Â |
| Option (Buy \$82.55) <u>(4)</u> | Â <u>(11)</u> | 05/06/2019 | Common Stock <u>(1)</u> | 3,400 | \$ 82.55 | D | Â |
| Option (Buy \$99.30) <u>(4)</u> | Â <u>(12)</u> | 05/05/2020 | Common Stock <u>(1)</u> | 5,440 | \$ 99.3 | D | Â |
| Option (Buy \$126.61) <u>(4)</u> | Â <u>(13)</u> | 05/04/2021 | Common Stock <u>(1)</u> | 5,301 | \$ 126.61 | D | Â |
| Option (Buy \$82.63) <u>(4)</u> | Â <u>(14)</u> | 05/22/2022 | Common Stock <u>(1)</u> | 10,533 | \$ 82.63 | D | Â |
| Option - Buy \$80.89 <u>(4)</u> | Â <u>(15)</u> | 05/16/2023 | Common Stock <u>(1)</u> | 23,740 | \$ 80.89 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Johnston Robert V. II 2000 POST OAK BLVD. SUITE 100 HOUSTON, TX 77056-4400 | Â | Â | Â Executive Vice President | Â |

Signatures

Robert V. Johnston 01/07/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
The shares of common stock of Apache are deemed to also represent certain preferred stock purchase rights ('Rights'). The Rights are not currently exercisable or separately tradable and presently are evidenced by certificates for shares of the common stock. Value attributable to such Rights, if any, is reflected in the market price of the common stock.
- (1) not currently exercisable or separately tradable and presently are evidenced by certificates for shares of the common stock. Value attributable to such Rights, if any, is reflected in the market price of the common stock.
- (2) One share of Apache common stock for each phantom stock unit.
- (3) Exempt acquisition pursuant to Rule 16b-3(d) - accrued under the deferred compensation provisions of Apache's Deferred Delivery Plan.
- (4) With tandem tax withholding right
- (5) One share of Apache common stock for each restricted stock unit.
- (6) Restricted stock units granted under employer plan. The units vest ratably over four years beginning one year from the date of grant.
- (7) Exercisable ratably over four years beginning 05/05/2006.
- (8) Exercisable ratably over four years beginning 05/03/2007.
- (9) Exercisable ratably over four years beginning 05/02/2008.
- (10) Exercisable ratably over four years beginning 05/07/2009.
- (11) Exercisable ratably over four years beginning 05/06/2010.
- (12) Exercisable ratably over four years beginning 05/05/2011.
- (13) Exercisable ratably over four years beginning 05/04/2012.
- (14) Exercisable ratably over four years beginning 05/22/2013.
- (15) Exercisable ratably over four years beginning 05/16/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.