

Firsthand Technology Value Fund, Inc.  
 Form 4  
 March 10, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**Bulldog Investors General Partnership**

(Last) (First) (Middle)

**PARK 80 WEST - PLAZA  
 TWO, 250 PEHLE AVE., SUITE  
 708**

(Street)

**SADDLE BROOK, NJ 07663**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**Firsthand Technology Value Fund, Inc. [SVVC]**

3. Date of Earliest Transaction  
 (Month/Day/Year)  
**03/06/2014**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
 \_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 \_\_\_ Form filed by One Reporting Person  
 \_\_\_X\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	03/06/2014		P	500	\$ 23.64	D (1) (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
--	--	--------------------------------------	--	--------------------------------	---	--	---	--	--

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bulldog Investors General Partnership PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
Opportunity Income Plus LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
OPPORTUNITY PARTNERS LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
Calapasas West Partners LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
Full Value Special Situations Fund LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
Full Value Offshore Fund, Ltd. PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
FULL VALUE PARTNERS LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708		X		

SADDLE BROOK, NJ 07663

MCM Opportunity Partners LP  
 PARK 80 WEST - PLAZA TWO  
 250 PEHLE AVE., SUITE 708  
 SADDLE BROOK, NJ 07663 X

STEADY GAIN PARTNERS LP  
 PARK 80 WEST - PLAZA TWO  
 250 PEHLE AVE., SUITE 708  
 SADDLE BROOK, NJ 07663 X

MERCURY PARTNERS L P  
 PARK 80 WEST - PLAZA TWO  
 250 PEHLE AVE., SUITE 708  
 SADDLE BROOK, NJ 07663 X

## Signatures

/s/ Phillip Goldstein - Manager of the Managing General Partner - Bulldog Investors General Partnership 03/10/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Opportunity Income Plus, LP 03/10/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Opportunity Partners, LP 03/10/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Calapasas West Partners, LP 03/10/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Full Value Special Situations Fund, LP 03/10/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Full Value Offshore Fund, Ltd. 03/10/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Full Value Partners, LP 03/10/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - MCM Opportunity Partners, LP 03/10/2014

\_\_Signature of Reporting Person Date

/s/ Barry Swidler - Member of the General Partner - Steady Gain Partners, LP 03/10/2014

\_\_Signature of Reporting Person Date

/s/ Glenn Goodstein - Member of the General Partner - Mercury Partners, LP 03/10/2014

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares are held by Bulldog Investors General Partnership.

## Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 4

- (2) Certain of such shares are also held indirectly by Opportunity Partners, LP, Calapasas West Partners, LP, Full Value Special Situations Fund, LP, Full Value Offshore Fund, Ltd., Full Value Partners, LP, MCM Opportunity Partners, LP, Steady Gain Partners, LP, and Mercury Partners, LP (the "Funds"). Each Fund disclaims beneficial ownership of such shares, except to the extent of its pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.