Allison Transmission Holdings Inc

Form 4

January 20, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Dewey Lawrence E. Issuer Symbol Allison Transmission Holdings Inc (Check all applicable) [ALSN] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify (Month/Day/Year) below) ONE ALLISON WAY 01/15/2015 Chairman, President & CEO (Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### **INDIANAPOLIS, IN 46222**

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securitie orDisposed o (Instr. 3, 4	of (D) and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
C			Code V	Amount	(D)	Price	(mstr. 3 und 1)			
Common Stock	01/15/2015		M	113,000	A	\$ 12.66	436,423	D		
Common Stock	01/15/2015		S <u>(1)</u>	113,000	D	\$ 31.4819 (2)	323,423	D		
Common Stock	01/16/2015		M	12,000	A	\$ 12.66	335,423	D		
Common Stock	01/16/2015		M	99,100	A	\$ 16.88	434,523	D		
Common Stock	01/16/2015		S <u>(1)</u>	111,100	D	\$ 31.2211	323,423	D		

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					(3)		
Common Stock	01/20/2015	M	25,900	A	\$ 16.88	349,323	D
Common Stock	01/20/2015	S(1)	25,900	D	\$ 31.3773 (4)	323,423	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (right to buy)	\$ 12.66	01/15/2015		M		113,000	<u>(5)</u>	09/30/2017	Common Stock	113,00
Employee Stock Option (right to buy)	\$ 12.66	01/16/2015		M		12,000	(5)	09/30/2017	Common Stock	12,000
Employee Stock Option (right to buy)	\$ 16.88	01/16/2015		M		99,100	<u>(5)</u>	09/30/2017	Common Stock	99,100
Employee Stock Option (right to buy)	\$ 16.88	01/20/2015		M		25,900	<u>(5)</u>	09/30/2017	Common Stock	25,900

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Dewey Lawrence E. ONE ALLISON WAY INDIANAPOLIS, IN 46222

Chairman, President & CEO

# **Signatures**

/s/ David S. Graziosi, attorney-in-fact

01/20/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were affected pursuant to a Rule 10b5-1 plan.
- The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$31.1250 (2) to \$32.1300. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in the footnote.
- The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$30.9300 (3) to \$31.4100. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in the footnote.
- The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$31.2200 (4) to \$31.5500. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in the footnote.
- (5) The option vested in five equal installments beginning on August 7, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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