### Edgar Filing: PARK CITY GROUP INC - Form 5

#### PARK CITY GROUP INC

Form 5 July 22, 2016

Common

Stock

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03/31/2016

**OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer ALLEN ROBERT W Symbol PARK CITY GROUP INC [PCYG] (Check all applicable) (First) 3. Statement for Issuer's Fiscal Year Ended (Last) (Middle) (Month/Day/Year) Director 10% Owner Officer (give title 06/30/2016 Other (specify below) below) C/O PARK CITY GROUP, INC., Â 299 S MAIN STREET, STE 2370 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) SALT LAKE CITY. UTÂ 84111 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction (A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned at end (D) or Ownership of Issuer's Indirect (I) (Instr. 4) Fiscal Year (Instr. 4) (A) (Instr. 3 and or 4) Price Amount (D) \$ Common Â Â 09/30/2015 Α 237 A 10.57 576,789 D Stock (1)\$ Common Â 12/31/2015 210 11.91 576,789 D Â Stock (1)

\$ 9.07

576,789

D

276

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Common Stock	06/30/2016	Â	A	279	A	\$ 8.97 (1)	576,789	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	118,933	I	Trust (By Spouse)
Series B Preferred Stock	Â	Â	Â	Â	Â	Â	79,493	D	Â
Series B-1 Preferred Stock	Â	Â	Â	Â	Â	Â	13,398 (2)	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant	\$ 3.6	Â	Â	Â	Â	Â	03/14/2013	03/14/2018	Common Stock	45,833
Warrant	\$ 6.45	Â	Â	Â	Â	Â	08/28/2013	08/28/2018	Common Stock	25,581
Warrants	\$ 4	Â	Â	Â	Â	Â	02/05/2015	02/05/2020	Common Stock	130,753

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships						
	Director	10% Owner	Officer	Other			
ALLEN ROBERT W C/O PARK CITY GROUP, INC.	Â	Â	â	Â			
299 S MAIN STREET, STE 2370 SALT LAKE CITY, UT 84111	А	А	А	А			

Reporting Owners 2

## **Signatures**

/s/ Robert Allen 07/22/2016

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued in lieu of amounts payable to the Reporting Person for his service on the Issuer's Board of Directors.
- (2) Amount includes shares of Series B-1 Preferred issued to the Reporting Person during the year ended June 30, 2016 as payment-in-kind of accrued dividends.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3