Lumentum Holdings Inc.

Form 4

November 23, 2015

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

2. Issuer Name and Ticker or Trading

Lumentum Holdings Inc. [LITE]

Symbol

(Mr. data)

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(Print or Type Responses)

LOWE ALAN S

1. Name and Address of Reporting Person *

1(b).

(Last)	(First) (Middle)	3. Date of	f Earliest Tr	ansaction						
	(Month/I	(Month/Day/Year)				_X_ Director		Owner			
C/O LUME	11/19/2	11/19/2015				_X_ Officer (give below)	title Other	er (specify			
MCCARTHY BLVD CEO and President											
	(Street)		4. If Ame	nendment, Date Original				6. Individual or Joint/Group Filing(Check			
	Filed(Month/Day/Year)						Applicable Line)				
MII DITAG		_X_ Form filed by One Reporting Persor Form filed by More than One Report									
MILPITAS, CA 95035 — Form filed by More than One Reporting Person											
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of	2. Transaction Date			3.	4. Securit		_	5. Amount of	6.	7. Nature of	
Security (Instr. 2)	(Month/Day/Year)		n Date, if					Securities Panaficially	Ownership In Form: Direct B	Indirect	
(Instr. 3)		any (Month/I	Day/Year)	Code (Instr. 3, 4 and 5) ar) (Instr. 8)				Beneficially Owned		Ownership	
		`		,				No. of the contract of the con		(Instr. 4)	
					(A)			Reported (Instr. 4) Transaction(s)			
						or		(Instr. 3 and 4)			
Common				Code V	Amount	(D)	Price	,			
Stock	11/19/2015			D(1)	26,376	D	\$ 0	44,456	D		
Common	11/19/2015			J (1)	26,376	A	\$ 0	26,376	I	Family	
Stock			<u> </u>				, -	- 7		Trust	
Common	11/20/2015			M(2)	2,743	A	\$ 0	47,199	D		
Stock	11/20/2013			IVI <u>(2)</u>	2,743	А	\$ 0	47,199	D		
Common				— (2)			\$		_		
Stock	11/20/2015			$F_{\underline{(3)}}$	1,431	D	18.81	45,768	D		
Common Stock	11/20/2015			$M_{\underline{(2)}}$	2,285	D	\$0	48,053	D		
SIUCK											

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Common \$ 18.81 46,861 1,192 D 11/20/2015 $F^{(3)}$ D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

8. I De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 1 5 (
					(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	11/20/2015		M	2,743	11/20/2015	<u>(4)</u>	Common Stock	2,743	
Restricted Stock Units	\$ 0	11/20/2015		M	2,285	11/20/2015	<u>(4)</u>	Common Stock	2,285	

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Reporting Owners

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
LOWE ALAN S						
C/O LUMENTUM 400 NORTH MCCARTHY BLVD	X		CEO and President			

MILPITAS, CA 95035

Signatures

/s/ Judy G Hamel as Attorney-in-Fact 11/23/2015 **Signature of Reporting Person Date

2 Reporting Owners

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares transferred from self to The Alan and Julie Lowe Family Trust Dated October 10, 1996.
- (2) Each unit converts upon vesting into one share of common stock.
 - These shares were retained by the Company in order to meet the tax withholding obligations of the award holder in connection with the
- (3) vesting of an installment of the restricted stock unit award. The amount retained by the Company was not in excess of the amount of the tax liability.
- (4) Restricted Stock Units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.