Lapointe Jean-Pierre Form 4 August 02, 2018

### FORM 4

#### **OMB APPROVAL OMB**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

3235-0287 Number:

0.5

of

Check this box if no longer subject to Section 16. Form 4 or Form 5

January 31, Expires: 2005 Estimated average

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Middle)

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Lapointe Jean-Pierre

Symbol

NORTHEAST BANCORP /ME/ [NBN]

5. Relationship of Reporting Person(s) to Issuer

(Last) (First)

C/O NORTHEAST BANCORP

(Street)

08/02/2018

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Other (specify X\_ Officer (give title

(Check all applicable)

08/02/2018

below) Chief Financial Officer

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

 $D^{(1)}$ 

LEWISTON, ME 04240

(City)

(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed Execution Date, if any | 3.<br>Transaction  | 4. Securities on(A) or Dispo    |         | 5. Amount of Securities Beneficially                                 | 6. Ownership Form: Direct (D) or | 7. Nature of Indirect Beneficial |
|--------------------------------------|-----------------------------------------|-----------------------------------|--------------------|---------------------------------|---------|----------------------------------------------------------------------|----------------------------------|----------------------------------|
|                                      |                                         | (Month/Day/Year)                  | (Instr. 8)  Code V | (Instr. 3, 4 and (And Amount (E | a)<br>r | Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | Indirect (I)<br>(Instr. 4)       | Ownership (Instr. 4)             |
| Voting                               |                                         |                                   |                    |                                 | ¢       |                                                                      |                                  |                                  |

100

P

Stock

Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Lapointe Jean-Pierre - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.              | 6. Date Exerc | cisable and | 7. Titl   | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|-----------------|---------------|-------------|-----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber        | Expiration D  | ate         | Amou      | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of              | (Month/Day/   | Year)       | Under     | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative      | e             |             | Securi    | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities      |               |             | (Instr.   | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired        |               |             |           |          |             | Follo  |
|             | •           |                     |                    |            | (A) or          |               |             |           |          |             | Repo   |
|             |             |                     |                    |            | Disposed        |               |             |           |          |             | Trans  |
|             |             |                     |                    |            | of (D)          |               |             |           |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3,      |               |             |           |          |             |        |
|             |             |                     |                    |            | 4, and 5)       |               |             |           |          |             |        |
|             |             |                     |                    |            |                 |               |             |           |          |             |        |
|             |             |                     |                    |            |                 |               |             |           | Amount   |             |        |
|             |             |                     |                    |            |                 | Date          | Expiration  |           | or       |             |        |
|             |             |                     |                    |            |                 |               | Date        | Title Nun | Number   |             |        |
|             |             |                     |                    | ~          | <i>(</i> 1) (5) |               |             |           | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)         |               |             |           | Shares   |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lapointe Jean-Pierre C/O NORTHEAST BANCORP LEWISTON, ME 04240

Chief Financial Officer

## **Signatures**

/s/ Jean-Pierre 08/02/2018 Lapointe

\*\*Signature of Reporting Date
Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock awards granted to the reporting person under the Northeast Bancorp Amended and Restated 2010 Stock Option and Incentive Plan which vest as follows: 2,000 restricted shares vesting in three equal installments, commencing November 8, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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