

TROM JEFF D  
Form 4  
January 23, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TROM JEFF D

2. Issuer Name and Ticker or Trading Symbol  
WORKIVA INC [WK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
2900 UNIVERSITY BOULEVARD  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
01/18/2019

\_\_\_\_ Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Executive VP & CTO

AMES, IA 50010  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Class A Common Stock	01/18/2019		F <sup>(1)</sup>		781 D \$ 38.22	316,954	D
Class A Common Stock	01/19/2019		F <sup>(1)</sup>		415 D \$ 38.22	316,539	D
Class A Common Stock	01/22/2019		S <sup>(2)</sup>		2,100 D \$ 38.38 <sup>(3)</sup>	314,439	D
Class A Common	01/22/2019		S <sup>(2)</sup>		17,900 D \$ 37.753	296,539	D

Stock (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title Underlying (Instr. 9)
					(A)	(D)	Date Exercisable	Expiration Date	
Class B Common Stock (5)	(5)	01/17/2019		G V	1,604,645		(5)	(5)	Class B Common Stock
Class B Common Stock (5)	(5)	01/17/2019		G V	1,604,645		(5)	(5)	Class B Common Stock
Class B Common Stock (5)	(5)						(5)	(5)	Class B Common Stock
Employee Stock Option to Purchase Class A Common Stock (6)	\$ 12.4						02/01/2018(7)	01/31/2027	Class A Common Stock
Employee Stock Option to Purchase Class A Common Stock (8)	\$ 15.83						08/12/2015(9)	08/11/2024	Class A Common Stock
Employee Stock Option to Purchase Class A	\$ 14.74						02/01/2017(7)	01/31/2026	Class A Common Stock

