### MACQUARIE/FIRST TRUST GLOBAL INFRASTR/UTIL DIV & INC FUND

Form 4 June 18, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* LYNCH COLEEN D

2. Issuer Name and Ticker or Trading

Symbol

MACQUARIE/FIRST TRUST GLOBAL INFRASTR/UTIL DIV &

INC FUND [MFD]

3. Date of Earliest Transaction

(Month/Day/Year) 06/09/2014

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(Last) (First)

10% Owner Director \_X\_\_ Officer (give title Other (specify below)

Assistant Vice President

FIRST TRUST ADVISORS L.P., 120 EAST LIBERTY DRIVE, SUITE 400

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

WHEATON, IL 60187

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

any

(Month/Day/Year)

(Middle)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

4. Securities

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

(A) or Code V Amount (D) Price Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: MACQUARIE/FIRST TRUST GLOBAL INFRASTR/UTIL DIV & INC FUND - Form 4

| 1. Title of Derivative | 2. Conversion                                     | 3. Transaction Date (Month/Day/Year) |                      | 4.<br>Transactio | 5.<br>orNumber                                                                                 | 6. Date Exerc<br>Expiration D |                    | 7. Title a                        |           | 8. Price of Derivative | 9. Nu<br>Deriv                                            |
|------------------------|---------------------------------------------------|--------------------------------------|----------------------|------------------|------------------------------------------------------------------------------------------------|-------------------------------|--------------------|-----------------------------------|-----------|------------------------|-----------------------------------------------------------|
| Security<br>(Instr. 3) | or Exercise<br>Price of<br>Derivative<br>Security | (World) Day, Tear)                   | any (Month/Day/Year) | Code (Instr. 8)  | of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                               |                    | Underly<br>Securitie<br>(Instr. 3 | ing<br>es | Security<br>(Instr. 5) | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                        |                                                   |                                      |                      | Code V           | (A) (D)                                                                                        | Date<br>Exercisable           | Expiration<br>Date | Title N                           | umber     |                        |                                                           |

# **Reporting Owners**

| Reporting Owner Name / Address                                                                        | Relationships |           |                                |       |  |  |  |
|-------------------------------------------------------------------------------------------------------|---------------|-----------|--------------------------------|-------|--|--|--|
| 1                                                                                                     | Director      | 10% Owner | Officer                        | Other |  |  |  |
| LYNCH COLEEN D<br>FIRST TRUST ADVISORS L.P.<br>120 EAST LIBERTY DRIVE, SUITE 400<br>WHEATON, IL 60187 |               |           | Assistant<br>Vice<br>President |       |  |  |  |

# **Signatures**

/s/ Coleen D.
Lynch

\*\*Signature of Reporting Person

O6/18/2014

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### **Remarks:**

Individual's position reclassified and is not a Section 16 officer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2