CAPSTONE TURBINE Corp

Form 4 March 30, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

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obligations

may continue.

(Print or Type Responses)

1. Name and Address of Reporting Person *

(First)

Brooks Jayme L.

(Last)

Security

(Instr. 3)

2. Issuer Name and Ticker or Trading Symbol

CAPSTONE TURBINE Corp [cpst]

3. Date of Earliest Transaction

(Month/Day/Year)

03/28/2016

Director 10% Owner X_ Officer (give title Other (specify

(Check all applicable)

5. Relationship of Reporting Person(s) to

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

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burden hours per

below) CFO & CAO

(Street) 4. If Amendment, Date Original

Execution Date, if

(Month/Day/Year)

(Middle)

Filed(Month/Day/Year)

(Instr. 8)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

CHATSWORTH, CA 91311

21211 NORDHOFF STREET

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T)

(Instr. 4)

Reported (A) Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number 6. Date Exercisable and 7. Title and Amou Derivative Conversion (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date Underlying Securi Securities Security or Exercise Code (Month/Day/Year) (Instr. 3 and 4) any

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acqui (A) or Dispo (D) (Instrand 5)	r osed of . 3, 4,				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Shar
Employee Stock Option (Right-to-Buy)	\$ 20.2	03/28/2016		<u>J(1)</u>		260 (1)	06/06/2013	08/30/2022	Common Stock	26
Employee Stock Option (Right-to-Buy)	\$ 18.4	03/28/2016		<u>J(1)</u>		1,065 (1)	04/09/2014	04/09/2023	Common Stock	1,0
Employee Stock Option (Right-to-Buy)	\$ 28	03/28/2016		<u>J(1)</u>		2,430 (1)	05/14/2015	05/14/2025	Common Stock	2,4
Employee Stock Option (Right-to-Buy)	\$ 12.8	03/28/2016		J <u>(1)</u>		5,385 (1)	04/12/2016	04/12/2026	Common Stock	5,3

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Brooks Jayme L.								
21211 NORDHOFF STREET			CFO & CAO					
CHATSWORTH, CA 91311								

Signatures

Jayme Brooks, Reporting
Person
03/30/2016

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Cancellation of a long derivative security position for no value

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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