Edgar Filing: TRIMBLE NAVIGATION LTD /CA/ - Form 4

TRIMBLE NAVIGATION LTD /CA/

Form 4 July 22, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

See Instruction

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person *

Kliem Jurgen

(First) (Middle)

C/O TRIMBLE NAVIGATION LIMITED, 935 STEWART DRIVE

SUNNYVALE, CA 94085

(Street)

(State)

2. Issuer Name and Ticker or Trading

Symbol

07/20/2016

TRIMBLE NAVIGATION LTD /CA/ [TRMB]

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original Filed(Month/Day/Year)

OMB APPROVAL

OMB Number:

3235-0287

2005

January 31, Expires:

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X_ Officer (give title _ Other (specify

below) Vice President

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	07/20/2016		M	10,440	A	\$ 15.4	21,692.9726	D		
Common Stock	07/20/2016		M	1,560	A	\$ 15.4	23,252.9726	D		
Common Stock	07/20/2016		M	4,700	A	\$ 21.53	27,952.9726	D		
Common Stock	07/20/2016		S <u>(1)</u>	16,700	D	\$ 26	11,252.9726	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option	\$ 15.4	07/20/2016		M	10,44	0 (2)	04/27/2017	Common Stock	10,440	
Employee Stock Option	\$ 15.4	07/20/2016		M	1,56	(2)	04/27/2017	Common Stock	1,560	
Employee Stock Option	\$ 21.53	07/20/2016		M	4,70	(3)	05/03/2018	Common Stock	4,700	

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Director 10% Owner Officer Other

Kliem Jurgen

C/O TRIMBLE NAVIGATION LIMITED 935 STEWART DRIVE SUNNYVALE, CA 94085

Vice President

Signatures

James Kirkland, as Attorney-in-Fact 07/22/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was effected by Mr. Kliem to a rule 10b5-1 sale plan effective May 23, 2016.

Reporting Owners 2

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- (2) 40% of these options became exercisable on 04/27/2012 and an additional 2.78% of these options become exercisable monthly thereafer.
- (3) 40% of these options became exercisable on 05/03/2013 and an additional 2.78% of these options become exercisable monthly thereafer. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.