

Brown Christina Lee
Form 4
January 03, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Brown Christina Lee

2. Issuer Name **and** Ticker or Trading
Symbol
BROWN FORMAN CORP [BFA,
BFB]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
850 DIXIE HWY
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/31/2012

____ Director ____X____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

LOUISVILLE, KY 40210

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common				(A) or (D)	Price		
			Code	V	Amount		
Class A Common					257,700	D	
Class A Common					504,775	I	Owsley Brown II 1994 GRAT
Class A Common					67,714	I	Trust u/a Owsley Brown III
Class A Common	12/31/2012		S(1)(2)	2,002,581	D	\$ 61.255	3,330,114 I Olympus Three, LLC
Class A Common	12/31/2012		S(1)(2)	2,418	D	\$ 61.255	0 I Guilford-Brown LP

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Class A Common	12/31/2012	<u>S(1)(2)</u>	840	D	\$ 61.255	0	I	Driftwood Holding Three LLC
Class A Common	12/31/2012	<u>S(1)(2)</u>	1,499	D	\$ 61.255	0	I	Longview LP
Class A Common	12/31/2012	<u>G(3)</u>	V 1,251,558	D	<u>(3)</u>	1,277,100	I	Polaris LLC
Class B Common						64,189	D	
Class B Common						1,980	I	Owsley Brown II IRA
Class B Common						126,193	I	Owsley Brown II 1994 GRAT
Class B Common	12/31/2012	<u>S(1)(2)</u>	4,031,268	D	\$ 62.46	6,703,641	I	Olympus Three LLC
Class B Common	12/31/2012	<u>S(1)(2)</u>	44,251	D	\$ 62.46	0	I	Driftwood Holding Three LLC
Class B Common	12/31/2012	<u>S(1)(2)</u>	652	D	\$ 62.46	0	I	Longview LP
Class B Common						253,176	I	Estate of Owsley Brown II
Class B Common	12/31/2012	<u>G(3)</u>	V 336,728	D	<u>(3)</u>	343,600	I	Polaris LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number

				Shares
Non-Qualified Stock Option (right to buy)	\$ 22.49	05/01/2007 04/30/2014	Class B Common	131,30
Stock Appreciation Right	\$ 33.65	11/15/2007 07/24/2015	Class B Common	5,311

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brown Christina Lee 850 DIXIE HWY LOUISVILLE, KY 40210		X		

Signatures

Diane M. Barhorst, Atty in Fact for Christina Lee Brown 01/03/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The reporting person was appointed administrator of the Estate of Owsley Brown II in October 2011. On 12/21/12, the Estate distributed to the reporting person a 64.59% interest in Olympus Three, LLC, in which the reporting person had previously reported a pecuniary interest. On 12/31/12, in a series of nearly simultaneous transactions, the Estate transferred a .3265% interest in Guilford-Brown LP, a 9.79% interest in Driftwood Holding Three LLC and a 1% interest in Longview LP to the Owsley Brown Marital Trust, which transferred them to the reporting person, who then sold them and a 60.14% interest in Olympus Three LLC to a family trust in which the reporting person has no pecuniary interest. Following these transactions, neither the Estate nor the reporting person has a pecuniary interest in Guilford-Brown LP, Driftwood Holding Three LLC or Longview LP, but the reporting person continues to have a pecuniary interest in Olympus Three LLC.
- (2) The reporting person disclaims beneficial ownership of all entities set forth in this form except to the extent of her pecuniary interest therein.
- (3) On 12/31/12, the reporting person gifted a 98% interest in Polaris LLC to a charitable lead annuity trust in which she has no pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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