Northfield Bancorp, Inc. Form 4

February 15, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

OMB Number:

3235-0287

Expires:

Form filed by More than One Reporting

Person

January 31, 2005

Estimated average burden hours per

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response... 0.5

**OMB APPROVAL** 

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person _ Alexander John Wade			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			Northfield Bancorp, Inc. [NFBK]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
581 MAIN STREET, SUITE 810			(Month/Day/Year) 02/13/2017	_X_ Director 10% Owner Specify below) Chairman & CEO		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person		

#### WOODBRIDGE, NJ 07095

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative	Secur	ities Acquir	ed, Disposed of, o	r Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie orDisposed o (Instr. 3, 4	f (D)	uired (A) or Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/13/2017		M	350,000	A	\$ 7.085	835,663	D	
Common Stock	02/13/2017		F	137,688	D	\$ 18.01	697,975	D	
Common Stock	02/14/2017		S	10,000	D	\$ 18.1147 <u>(1)</u>	687,975	D	
Common Stock	02/15/2017		S	25,000	D	\$ 18.1169 (2)	662,975	D	
								_	

27,359.3515 I By ESOP Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am Nur Sha
Stock Appreciation Rights	\$ 7.085	02/13/2017		M	350,000	01/30/2010	01/30/2019	Common Stock	35
Stock Options	\$ 13.13					06/11/2015	06/11/2024	Common Stock	38
Stock Options	\$ 14.76					05/27/2016	05/27/2025	Common Stock	22

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
noporting of their remarks	Director	10% Owner	Officer	Other			
Alexander John Wade 581 MAIN STREET, SUITE 810 WOODBRIDGE, NJ 07095	X		Chairman & CEO				

### **Signatures**

Steven M. Klein, pursuant to Power of Attorney

\*\*Signature of Reporting Person

02/15/2017 Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

Reporting Owners 2

### Edgar Filing: Northfield Bancorp, Inc. - Form 4

The price reported in column 4 is a weighted average price. The shares were sold at prices ranging from \$18.07 to \$18.18, inclusive. The reporting person undertakes to provide to Northfield Bancorp, Inc., any security holder of Northfield Bancorp, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1) to this Form 4.

The price reported in column 4 is a weighted average price. The shares were sold at prices ranging from \$18.03 to \$18.1828, inclusive.

The reporting person undertakes to provide to Northfield Bancorp, Inc., any security holder of Northfield Bancorp, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.