

FULTON FINANCIAL CORP
Form 5
January 28, 2014

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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1. Name and Address of Reporting Person *
Roda Craig A

(Last) (First) (Middle)

C/O FULTON FINANCIAL
CORPORATION, P.O. BOX 4887,
ONE PENN SQUARE

(Street)

2. Issuer Name **and** Ticker or Trading
Symbol
FULTON FINANCIAL CORP
[FULT]

3. Statement of Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2013

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Sr Executive Vice President

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

LANCASTER, PA 17604

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Amount Price			
\$2.50 par value common stock	07/17/2013	Â	J	299.113 (1)	A \$ 0	89,894.2485 (2)	D Â
\$2.50 par value common stock	07/17/2013	Â	J	263.4119 (3)	A \$ 12.04	90,157.6604 (4)	D Â

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\$2.50 par value common stock	09/19/2013	Â	J	200.7286 (5)	A	\$ 10.0598	90,358.389 (6)	D	Â
\$2.50 par value common stock	10/17/2013	Â	J	301.3515 (1)	A	\$ 0	90,659.7405 (6)	D	Â
\$2.50 par value common stock	10/17/2013	Â	J	242.0125 (3)	A	\$ 12.03	90,901.753 (7)	D	Â
\$2.50 par value common stock	12/13/2013	Â	J	156.7559 (5)	A	\$ 11.0415	91,058.5089 (8)	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of Derivative Security (Instr. 5)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
					(A) (D)				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Roda Craig A C/O FULTON FINANCIAL CORPORATION P.O. BOX 4887, ONE PENN SQUARE LANCASTER, PA 17604	Â	Â	Â Sr Executive Vice President	Â

Signatures

Mark A. Crowe,
Attorney-in-Fact

01/28/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reinvestment of dividends on restricted shares pursuant to the terms of the Amended and Restated Equity and Cash Incentive Compensation Plan.
- (2) Includes 25,428.415 shares held jointly with spouse.
- (3) Reinvestment of dividends.
- (4) Includes 25,539.8992 shares held jointly with spouse.
- (5) Purchase made with cash in the Employee Stock Purchase Plan.
- (6) Includes 25,740.6278 shares held jointly with spouse.
- (7) Includes 25,854.2812 shares held jointly with spouse.
- (8) Includes 26,011.0371 shares held jointly with spouse.

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