#### **ROTH MICHAEL ISOR**

Form 4

November 01, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Expires:

**OMB APPROVAL** 

3235-0287

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005

0.5

Estimated average

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subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **ROTH MICHAEL ISOR** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

INTERPUBLIC GROUP OF COMPANIES, INC. [IPG]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify

Chairman and CEO

(Month/Day/Year) 10/31/2018

below)

THE INTERPUBLIC GROUP OF COMPANIES, INC., 909 THIRD **AVE** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### NEW YORK, NY 10022

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities or Disposed of (Instr. 3, 4	f (D)	uired (A) or	,	Ownership Indirection Form: Bene Direct (D) Ownership	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/31/2018		Code V M	Amount 117,840 (1)	(D)	Price \$ 12.935		D	
Common Stock	10/31/2018		M	117,840 (1)	D	\$ 23.1865 (3)	697,555 <u>(2)</u>	D	
Common Stock	11/01/2018		M	375,026 (1)	A	\$ 12.935	1,072,581 (2)	D	
Common Stock	11/01/2018		M	375,026 (1)	D	\$ 23.0678	697,555 <u>(2)</u>	D	

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(4)

Common Stock 500,000 (5) I Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Stock Option	\$ 12.935	10/31/2018		M		117,840	02/28/2014	02/28/2021	Common Stock	117,84
Stock Option	\$ 12.935	11/01/2018		M		375,026	02/28/2014	02/28/2021	Common Stock	375,020

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ROTH MICHAEL ISOR THE INTERPUBLIC GROUP OF COMPANIES, INC. 909 THIRD AVE

Chairman and CEO

### **Signatures**

NEW YORK, NY 10022

/s/Robert J. Dobson POA for Michael Roth

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Involves the exercise of a total of 492,866 options which were set to expire on February 28, 2021 and subsequent sale of the underlying shares.

Reporting Owners 2

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- (2) Includes restricted shares which are subject to forfeiture under certain circumstances.
- (3) Reflects average price of multiple sales on Oct 31 2018 ranging from \$23.16-\$23.24. Mr. Roth undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) Reflects average price of multiple sales on Nov 1 2018 ranging from \$22.84-\$23.40. Mr. Roth undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (5) Shares are held in The Michael I. Roth 2015 GRAT.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.