GROWLIFE, INC. Form 4 October 15, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

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obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Scott Sterling C.

2. Issuer Name and Ticker or Trading Symbol

Issuer

(Last)

(City)

(Middle)

GROWLIFE, INC. [PHOT] 3. Date of Earliest Transaction

(Check all applicable)

2315 GEORGIA VILLAGE WAY

(First)

(Month/Day/Year)

10/09/2014

Director 10% Owner \_X\_ Other (specify Officer (give title

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

below) Former Officer and Director

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

SILVER SPRING, MD 20902

(State)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially Owned Following Reported

Ownership Form: Direct (D) or Indirect

(Instr. 4)

D

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(9-02)

1

(A) or Code V Amount (D)

Transaction(s) (Instr. 3 and 4) Price

Common 10/09/2014 Stock

S 2,446,900 D

48,599,499 (1)(2)(3)0.05

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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## Edgar Filing: GROWLIFE, INC. - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						`
					4, and 5)						
					, ,						
									Amount		
						Date Exercisable	Expiration e Date		or		
								Title	Number		
						Lacicisable			of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Scott Sterling C. 2315 GEORGIA VILLAGE WAY SILVER SPRING, MD 20902

Former Officer and Director

# **Signatures**

Reporting Person

Sterling C. Scott 10/15/2014
\*\*Signature of Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Footnote (1) will be divided into (A) and (B) - (A) Excludes the Note, the Jordan Note, and the Andrew Note (each as hereinafter defined). On September 10, 2014, Sterling Scott sold his entire interest in that certain 6% Senior Secured Convertible Note (the "Note") to Jordan Wedam Scott ("Jordan") and Andrew J. Gentile ("Andrew") in a private transaction for \$413,680, which Note was originally issued by GrowLife, Inc., a Delaware corporation (formerly known as Phototron Holdings, Inc.) (the "Company") on April 5, 2012, to Mr. Scott. As of September 10, 2014, the Note and all accrued and unpaid interest on the Note was convertible into 68,247,565 shares of common stock of the Company. Jordan is Sterling Scott's independent adult son.

- Footnote 1 (B) There is no family or other relationship between Andrew and Sterling Scott. As a result of that sale, the Note was split up so that in its place GrowLife issued to (i) Jordan a new 6% Senior Secured Convertible Note in the principal amount of \$263,680 with the original issue date of March 16, 2013, amended September 10, 2014 (the "Jordan Note"), and (ii) Andrew a new 6% Senior Secured Convertible Note in the principal amount of \$150,000 with the original issue date of March 16, 2013, amended September 10, 2014 (the "Andrew Note"). As a result of that transaction, Sterling Scott holds -0- derivate securities in the Company.
- (3) Footnote (2) Following the transaction, Sterling Scott still beneficially owns 48,599,499 shares of common stock, of which Sterling Scott's wife owns 1,598,981 shares, representing 5.1% of the outstanding stock of the Company.

### Remarks:

Item 5 appears to indicate there are three Footnotes. In actuality, there are two Footnotes; Footnote 1(A) and Footnote (1(B) at Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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