### Edgar Filing: JACKSON SHIRLEY A - Form 4

| JACKSON S   | HIRLEY A                                  |   |  |  |             |                |  |  |  |  |  |
|---|---|---|--|--|-------------|----------------|--|--|--|--|--|
| Form 4  |   |   |  |  |             |                |  |  |  |  |  |
| October 01, 2   | 2018                                      |   |  |  |             |                |  |  |  |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION   |   |   |  |  |             |                |  | PPROVAL  |  |  |  |
|   | Washington, D.C. 20549                    |   |  |  |             |                |  | N OMB<br>Number:   | 3235-0287  |  |  |
| Check thi<br>if no long<br>subject to<br>Section 10<br>Form 4 or<br>Form 5<br>obligation<br>may conti<br><i>See</i> Instru<br>1(b). | er <b>STATEN</b><br>6.                    | <b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b><br><b>SECURITIES</b><br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |  |  |             |                |  |  | January 31,<br>2005<br>average<br>urs per<br>. 0.5 |  |  |
| (Print or Type R  | lesponses)                                |   |  |  |             |                |  |  |  |  |  |
| 1. Name and Address of Reporting Person <u></u><br>JACKSON SHIRLEY A  |   |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>INTERNATIONAL BUSINESS<br>MACHINES CORP [IBM] |  |             |                | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)  |  |  |  |  |
|   | (First) (<br>TARY'S OFFIC<br>FION, NEW OR |   |  | of Earliest T<br>Day/Year)<br>2018   | ransaction  |                | Director<br>Officer (giv<br>below)   |  | % Owner<br>her (specify                            |  |  |
|   |   |   |  | endment, D<br>onth/Day/Yea   | -           | ıl             | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting |  |  |  |  |
| ARMONK,   | NY 10504                                  |   |  |  |             |                | Person   | More than One K  | eporung  |  |  |
| (City)  | (State)                                   | (Zip)   | Tab  | ole I - Non-l  | Derivative  | Securities A   | cquired, Disposed  | of, or Beneficia   | ally Owned   |  |  |
|   | (Month/Day/Year) Execution Date, if       |   | Date, if   | 3. 4. Securities<br>TransactionAcquired (A) or<br>Code Disposed of (D)<br>(Instr. 8) (Instr. 3, 4 and 5)<br>(A)<br>or<br>Code V Amount (D) Price |             |                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                               | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) |  |  |  |
| Reminder: Repo  | ort on a separate line                    | e for each cl   | ass of sec   | urities bene   | ficially ow | ned directly o | or indirectly.   |  |  |  |  |

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | Deriva | tive<br>ties<br>red<br>sed<br>3, 4, | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Price<br>Derivati<br>Security<br>(Instr. 5 |
|---|---|---|--------------------------------------|--------|-------------------------------------|--|--------------------|---|--|---|
|   |   |   | Code V                               | (A)    | (D)                                 | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |
| Promised<br>Fee Share                               | \$ 0 <u>(1)</u>   | 09/28/2018                              | A <u>(2)</u>                         | 571    |                                     | (3)  | (3)                | Common<br>Stock   | 571                                    | \$ 151.                                       |

Director

Relationships

10% Owner Officer Other

## **Reporting Owners**

**Reporting Owner Name / Address** 

JACKSON SHIRLEY A C/O SECRETARY'S OFFICE, IBM CORPORATION NEW ORCHARD ROAD ARMONK, NY 10504

### Signatures

M. Clemens on behalf of S. A. Jackson 10/01/2018

Date

# **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan are paid out after retirement in the company's common stock or cash.
- (2) Deferral of fees into Promised Fee Shares under the terms of the IBM Board of Directors Deferred Compensation and Equity Award Plan.
- (3) Distribution of Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan is deferred until retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.