Edgar Filing: ALIGN TECHNOLOGY INC - Form 4

| ALIGN TE Form 4 | CHNOLOGY IN | С | | | | | | | | | | |
|--|---|---|---|---|------------|--|---------------------|---|---|-----------------------|--|--|
| August 16, | | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMMISSION | OMB AF OMB Number: | APPROVAL 3235-0287 | | |
| Check t if no los subject Section Form 4 Form 5 obligati may co <i>See</i> Inst 1(b). | nger to 16. or Filed put ons ntinue. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Relic Zelko | | | 2. Issuer Name and Ticker or Trading Symbol ALIGN TECHNOLOGY INC [ALGN] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) (First) (Middle) C/O ALIGN TECHNOLOGY, INC., 2560 ORCHARD PARKAY | | | 3. Date of Earliest Transaction (Month/Day/Year) 08/15/2016 | | | | | Director 10% Owner X Officer (give title Other (specify below) VP, R&D | | | | |
| SAN JOSE | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | | |
| (City) | (State) | (Zip) | Tab | la I Nan | Dominativo | See | itian A any | Person | on Donoficial | w Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deeme | d Date, if | Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | quired (A) (D) | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| Common Stock | 08/15/2016 | 08/15/201 | 16 | S | 12,202 | D | \$ 95.909 (1) | 6 1,375 | D | | | |
| Common Stock | 08/15/2016 | 08/15/201 | 16 | S | 1,375 | D | \$ 95.95 | 5 0 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--------------------------------------|---|---------------------|--------------------|-----------------------|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|------------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Relic Zelko C/O ALIGN TECHNOLOGY, INC. 2560 ORCHARD PARKAY SAN JOSE, CA 95131 | | | VP, R&I |) | | | |
| Signatures | | | | | | | |
| Roger E George Atty-in-Fact for Zelk Relic | 0 | 08/16/2016 | | | | | |
| **Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$95.82 to \$95.96, inclusive. The reporting person undertakes to provide Align Technology, Inc., any security holder of Align Technology, Inc. or

the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.