

Avinger Inc
Form 4
December 11, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Lucas Donald A

(Last) (First) (Middle)

400 CHESAPEAKE DRIVE

(Street)

REDWOOD CITY, CA 94063

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Avinger Inc [AVGR]

3. Date of Earliest Transaction
(Month/Day/Year)
12/09/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/09/2015		J(1)	562,038 D \$ 0 0	I		Lucas Venture Group IX, LLC
Common stock	12/09/2015		J(1)	15,809 A \$ 15.99 15,809	I		Lucas Venture Management, LLC
Common stock	12/11/2015		S	500 D \$ 15.857 15,309	I		Lucas Venture Management, LLC

Edgar Filing: Avinger Inc - Form 4

Common stock	12/11/2015	S	500	D	\$ 15.8715	14,809	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	500	D	\$ 16.0682	14,309	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	500	D	\$ 15.7951	13,809	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	1,000	D	\$ 15.9279	12,809	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	300	D	\$ 15.93	12,509	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	200	D	\$ 15.95	12,309	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	100	D	\$ 16	12,209	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	65	D	\$ 15.89	12,144	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	35	D	\$ 15.9	12,109	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	200	D	\$ 15.99	11,909	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	100	D	\$ 16	11,809	I	Lucas Venture Management, LLC

Edgar Filing: Avinger Inc - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

Lucas Donald A
400 CHESAPEAKE DRIVE
REDWOOD CITY, CA 94063

Signatures

/s/ Donald A.
Lucas 12/11/2015

__Signature of
Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 1. The reporting person is the managing member of Lucas Venture Group IX, LLC, which made a pro rata distribution for no consideration of Common Stock of the Issuer to its members on December 9, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.