Diplomat Pharmacy, Inc. Form 4 April 01, 2015

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB 3235-0287

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Whelan Sean

(Last)

2. Issuer Name and Ticker or Trading Symbol

Diplomat Pharmacy, Inc. [DPLO]

(Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 03/31/2015

\_X\_\_ Director

Issuer

below)

10% Owner X\_ Officer (give title Other (specify

(Check all applicable)

below) Chief Financial Officer

5. Relationship of Reporting Person(s) to

(Street)

4100 S. SAGINAW STREET

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

FLINT, MI 48507

Security

(Instr. 3)

(City) (State) (Zip) 1.Title of

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

3. (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T)

Transaction(s) (Instr. 3 and 4)

(Instr. 4) (Instr. 4)

Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	8)	Disp	nired (A) or osed of (D) r. 3, 4, and				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option to Acquire Common Stock	\$ 3.79	03/31/2015		D			357,099	<u>(1)</u>	12/16/2020	Common Stock	357,09

# **Reporting Owners**

Reporting Owner Name / Address	Relationships								
<b>rg</b>	Director	10% Owner	Officer	Other					
Whelan Sean 4100 S. SAGINAW STREET FLINT, MI 48507	X		Chief Financial Officer						

# **Signatures**

Jeffrey H. Kuras, by Power of Attorney 04/01/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were granted on 12/16/2010 and were fully vested on 12/16/2014.
- On March 31, 2015, the options designated in column 5 were repurchased by the Issuer. The purchase price for the option repurchase was (2) based on the \$29.00 per common share price of the Issuer's March 25, 2015 public offering, net of the underwriting discount (\$1.45) and the per share exercise price of the options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2